

# Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

**Reporting Instructions:** Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
<b>1. Reporting Period End Date</b> Mar 31, 2019		<b>2. Report Due Date</b> May 31, 2019	
<b>3. 1st Quarterly Report</b> <input checked="" type="radio"/>	<b>2nd Quarterly Report</b> <input type="radio"/>	<b>3rd Quarterly Report</b> <input type="radio"/>	<b>4. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight (CCIIO)
<b>5. Federal Grant Number Assigned by Federal Agency</b> SIWIW190008	<b>6a. DUNS Number</b> 1559150930000		<b>6b. EIN</b> 396006451
<b>7. Recipient Organization Name</b> State of Wisconsin Office of the Commissioner of Insurance			
<b>Address Line 1</b> 125 S. Webster Street			
<b>Address Line 2</b>			
<b>Address Line 3</b>			
<b>City</b> Madison	<b>State</b> WI		<b>Zip Code</b> 53703
<b>Zip Extension</b>		<b>8. Grant Period Start Date</b> Jan 1, 2019	
<b>9. Grant Period End Date</b> December 31, 2023			
<b>10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency)</b>			

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<b>B. REPORT CERTIFICATION</b>	
<b>11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.</b>	
<b>11a. Typed or printed name and title of Authorized Certifying Official</b>  Kate Ludlum, Administrator Funds and Program Management Office of the Commissioner of Insurance	<b>11b. Signature of Authorized Certifying Official</b>
<b>11c. Telephone (area code, number, and extension)</b>  (608) 264-6232	<b>11d. E-mail address</b>  kate.ludlum@wisconsin.gov
<b>11e. Date report submitted (month/day/year)</b>  May 29, 2019	
<b>C. PROGRESS OF SECTION 1332 WAIVER - General</b>	
<b>12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver.</b>  <b>OCI completed collecting claims, banking and government relations contact information for individual market insurers eligible for participation in the Wisconsin Healthcare Stability Plan (WIHSP).</b>  <b>On March 26, 2019, the Deputy Commissioner sent insurers offering plans in the individual market an e-mail with reminders of quarterly reporting deadlines, key dates and program contacts within the office.</b>  <b>Per s. 601.83(3), Wis. Stats, the commissioner must set the payment parameters by no later than March 30 of the calendar year before the applicable benefit year, unless the commissioner specifies a different date by rule. Emergency Rule 1831 extends that date to May 15. The commissioner began work with its actuary, Wakely, and insurers on a data call to inform the proposed 2020 payment parameters at the end of February 2019.</b>	
<b>13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate.</b>  <b>2017 WI Act 370, as noted in the OCI WIHSP February Operational Report to HHS, requires WIHSP to be</b>	

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administered in accordance with the specific terms and conditions approved by the federal Department of Health and Human Services (HHS). The Act also states that the Commissioner may not request a modification, suspension, withdrawal or termination of the waiver from HHS, unless legislation has been enacted specifically directing such action. It also includes language allowing the OCI WIHSP emergency rule to remain in place until a permanent rule is adopted. On March 21, 2019, a Madison circuit court judge suspended the Act. The Court of Appeals granted a temporary injunction on March 27, 2019, pending appeal to the Wisconsin Supreme Court. There were several other provisions, unrelated to WIHSP included in Act 370 and placed on hold. In other words, WIHSP was not singled out for action.

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<b>D. POST-AWARD FORUM</b> <i>(for Quarter Post-Award Forum was held)</i>
<p><b>14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance?</b></p> <p><input type="radio"/> <b>Yes</b></p> <p><input type="radio"/> <b>No</b></p>
<p><b>15. State website address where Post-Award Forum was advertised</b></p>
<p><b>16. Date Post-Award Forum took place</b></p>
<p><b>17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments.</b></p>
<p><b>18. Other Attachments (attach other documents as needed pertaining to Post-Award Form</b></p>