

# Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

**Reporting Instructions:** Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
<b>1. Reporting Period End Date</b> June 30, 2021		<b>2. Report Due Date</b> Nov 29, 2021	
<b>3. 1st Quarterly Report</b> <input type="radio"/>	<b>2nd Quarterly Report</b> <input type="radio"/>	<b>3rd Quarterly Report</b> <input checked="" type="radio"/>	<b>4. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight (CCIIO)
<b>5. Federal Grant Number Assigned by Federal Agency</b> SIWIW190008	<b>6a. DUNS Number</b> 155915090000	<b>6b. EIN</b> 396006451	
<b>7. Recipient Organization Name</b> Office of the Commissioner of Insurance			
<b>Address Line 1</b> 125 South Webster Street			
<b>Address Line 2</b>			
<b>Address Line 3</b>			
<b>City</b> Madison	<b>State</b> WI	<b>Zip Code</b> 53707	
<b>Zip Extension</b>		<b>8. Grant Period Start Date</b> January 1, 2019	
<b>9. Grant Period End Date</b> December 31, 2023			
<b>10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency)</b>			

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<b>B. REPORT CERTIFICATION</b>	
<b>11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.</b>	
<b>11a. Typed or printed name and title of Authorized Certifying Official</b>  Nathan Houdek	<b>11b. Signature of Authorized Certifying Official</b>    
<b>11c. Telephone (area code, number, and extension)</b>  608-266-2493	<b>11d. E-mail address</b> Nathan.Houdek@wisconsin.gov
<b>11e. Date report submitted (month/day/year)</b>    	
<b>C. PROGRESS OF SECTION 1332 WAIVER - General</b>	
<b>12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver.</b> <b>2020 Annual Report</b> OCI received questions from HHS&DOT on the draft 2020 annual report on July 7, 2021. On July 12, 2021, OCI submitted responses to those questions to CMS and annual report as a final report.	
<b>State Budget &amp; Increase in WIHSP funding limit</b> On July 8, 2021, Governor Evers signed the state budget increasing the WIHSP spending limit from \$200 million to \$230 million. The budget also required OCI to modify the 2022 payment parameters to increase the coinsurance to 50% (to reflect the increased spending limit). OCI published the revised parameters on July 12, 2021. The 2022 parameters are now established to be \$40,000 attachment point, 50% co-insurance, and \$75,000 cap. A report on legislative activity leading up to the limit change was included in the WIHSP Q2 report to CMS, filed on August 2, 2021.	
<b>2021 Annual Forum</b> The forum was held on July 14, 2021. Please see section D for additional details.	
<b>Phase I &amp; II Verification Audits Completed &amp; Final Payments Disbursed</b> On July 13, 2021, the Phase I Verification Audit was completed with no concerns. All 2020 payments to insurers were complete as of July 21, 2021, ahead of the August 15th statutory deadline. Payments totaled \$183,483,625.85. Phase II of the Verification Audit was complete on September 1, 2021, with no	

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### Insurer Q2 Reports to OCI

Insurer Q2 reports were due on August 14, 2021. All carriers submitted on time and the total Q2 WIHSP claims estimate is \$62,680,237.44.

### Care Management and High Cost Claim Reporting

OCI reviewed the reporting requirements in MD, CO, and DE, as suggested by CMS in their comments to the OCI 2020 WIHSP draft annual report. Draft templates to begin collecting high cost claim data for medical services and Rx drugs, as well as whether the insurers have medical management protocols in place for high cost conditions, are under internal review.

**13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate.**

N/A

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<b>D. POST-AWARD FORUM</b> <i>(for Quarter Post-Award Forum was held)</i>
<b>14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance?</b> <input checked="" type="radio"/> <b>Yes</b> <input type="radio"/> <b>No</b>
<b>15. State website address where Post-Award Forum was advertised</b> <a href="https://oci.wi.gov/Pages/Consumers/HealthcareStabilityPlan.aspx">https://oci.wi.gov/Pages/Consumers/HealthcareStabilityPlan.aspx</a>
<b>16. Date Post-Award Forum took place</b> July 14, 2021
<b>17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments.</b>  Here is a link to the presentation OCI provided: <a href="https://oci.wi.gov/Documents/AboutOCI/WIHSP_2021_AnnualPublicForumPresentation.pdf">https://oci.wi.gov/Documents/AboutOCI/WIHSP_2021_AnnualPublicForumPresentation.pdf</a>  The annual forum, with the permission of CMS, was held via Zoom. The list of attendees is attached to the email that included this quarterly report. There were no questions during or after the forum. The Wisconsin Association of Health Plans (WAHP) submitted written comments in support of WIHSP and the increased spending limit approved in the budget. The WAHP letter is attached to the email containing this quarterly report.
<b>18. Other Attachments (attach other documents as needed pertaining to Post-Award Form)</b>