



Update to a Cybersecurity Event Report

Under the [Wis. Stat. § 601.954](#), licensees are required to report Cybersecurity Events to the Office of the Commissioner of Insurance (OCI). Licensees are expected to provide as much information as they have available within three business days from the discovery of a cybersecurity event. After receipt of the initial report, licensees are required to submit additional updates as more information becomes available.

Licensees should combine this form with all other necessary materials into a single PDF document to be attached and submitted via email to OCICyberReport@Wisconsin.gov. Subject line of the email should include the Cybersecurity Event ID that was assigned by OCI.

Section 1. Information of Entity Experiencing Cybersecurity Event

Cybersecurity Event ID # *(required field)*

NAIC Group Code *If reporting for multiple companies within a group, include an attached document listing each impacted company and their co. codes.*

NAIC Co. Code NPN #

SBS # FEIN Code

| | | |
|--------------------|-------|-----|
| Name | | |
| Address 1 | | |
| Address 2 | | |
| Suite/Apt/Building | | |
| City | State | Zip |

| |
|-----------|
| Telephone |
| Fax |
| Email |

Section 2. Event Dates

| | | |
|----------------------|---------------|-----------------|
| Estimated Occurrence | Estimated End | Date Discovered |
|----------------------|---------------|-----------------|

Section 3. Event Type (check all that apply)

| | |
|------------------------------------|---------------------------------------|
| Data theft by Employee/ Contractor | Hackers/Unauthorized Access |
| Phishing | Improperly Released/Exposed/Displayed |
| Stolen Laptop | Compromised Computer and Equipment |
| Improperly Disposed | Lost During Move |
| Ransomware | Other |

Section 4. Circumstances Surrounding the Cybersecurity Event

How was the information exposed, lost, stolen, or accessed? Include the identity of the source of the Cybersecurity Event as well as its location (server farm, the cloud, etc.), if known.

How was the Cybersecurity Event discovered?

What actions are being taken to recover lost, stolen, or improperly accessed information?

Section 5. Third-Party Involvement

Did the Cybersecurity Event occur within the information/systems maintained by the licensed entity or individual reporting the Cybersecurity Event or within the information/systems maintained by a third-party service provider?

Our Systems

Third-Party Service Provider

A Combination of Both

Name of the Third-Party Service Provider

Description of the Third-Party Service Provider

What were the specific roles and responsibilities of the Third-Party Service Provider?

Section 6. Information Involved (Check all that apply)

| Demographic Information | Health Information | Financial Information | Other |
|-------------------------|-----------------------|--------------------------|-------|
| Name | Medical Records | Bank Account Information | |
| Date of Birth | Lab Results | Credit Card | |
| Address | Medications | Debit Card | |
| Mother's Maiden Name | Treatment Information | Other | |
| Driver's License | Physician's Notes | | |
| SSN | Other | | |
| Passport | | | |
| Other | | | |

Was the electronic information involved in the Cybersecurity Event protected in some manner?

Yes No N/A It involved paper records only

Describe the efforts being undertaken to remediate the situation which permitted the Cybersecurity Event to occur

Section 7. Number of Individuals / Entities Affected

If reporting for multiple companies within a group, include an attached document.

| |
|------------------------------|
| Number affected nationally |
| Number affected in Wisconsin |

Section 8. Business-Related Information

If the licensee's own business data was involved, please provide details about the type(s) of data involved. This may include personnel records, cybersecurity plans, financial data, etc.

Section 9. Notification Requirements

Is a notice to impacted Wisconsin residents/entities required under Wisconsin or federal law?

Yes No Unknown

Have you sent any notice to consumers regarding the cybersecurity event? If a copy of notice has not been provided to OCI, attach in Section 12. Yes No If yes, provide date

Section 10. Law Enforcement and Regulatory Agencies

Has a report been filed with law enforcement? Has any legal, regulatory, governmental, or other agency been notified? (If yes, please attach documentation of report/notification)

Law Enforcement Report: Yes No Will be responding on a subsequent date
If yes, provide date
If yes, provide contact information of law enforcement individual(s):

Regulatory Agency: Yes No Will be responding on a subsequent date
If yes, provide date
If applicable, please indicate which state insurance regulators were notified:

Consumer Reporting Agency: Yes No Will be responding on a subsequent date
If yes, provide date
If yes, to which agencies

Section 11. Contact Information of Individual with Knowledge of Cybersecurity Event and Authorized to Act on Behalf of the Licensee

| | | | |
|--------------------|-------------|-----------|-----|
| First Name | Middle Name | Last Name | |
| Address 1 | | | |
| Address 2 | | | |
| Suite/Apt/Building | | | |
| City | | State | Zip |

| |
|-----------|
| Telephone |
| Fax |
| Email |

Section 12. Attachments

Items to attach:

- A report of the results of any internal review identifying a lapse in either automated controls or internal procedures.
- A copy of the licensee's privacy policy.
- A statement outlining the steps the licensee will take, or has taken, to investigate and notify consumers affected by the Cybersecurity Event.

Licensees should combine this form with all other necessary materials into a single PDF document to be attached and submitted via email to OCICyberReport@Wisconsin.gov. Subject line of the email should include the Cybersecurity Event ID that was assigned by OCI.

Section 13. Attestation *(required)*

- I attest that the information submitted on this form is true and correct to the best of my knowledge, information, and belief.
- I am an authorized individual pursuant to Wis. Stat. § 601.95(1) and I submit this form on behalf of the licensee.
- I understand the materials produced to OCI are subject to the confidentiality provisions and exceptions in Wis. Stat. § 601.955.

Name

Date

Send completed form and attachments listed in Section 12 as a single PDF to:
OCICyberReport@Wisconsin.gov.