



Ref: Section 601.72 (2m), Wis. Stat.

A risk retention group (RRG) is required by the Liability Risk Retention Act of 1986 (LRRRA) to register with each state in which it intends to do business. All RRGs, including the RRGs seeking approval to write health care liability coverage under ch. 665, Wis. Stat., must first register with OCI. Below is a list of documents required to be submitted to OCI.

Digital filing by email is the preferred filing method.

| | |
|----------------------------------|-----------------------------|
| Risk Retention Group Name | NAIC No. |
| Contact Person Name | Contact Person Phone |
| Contact Person Email | |

1. Plan of operation or a feasibility study submitted to the domiciliary state. At a minimum the following should be included:
 - ☐ The coverages, deductibles, coverage limits, rates, and rating classification systems for each line of insurance the group intends to offer.
 - ☐ Such other matters as may be prescribed by the Commissioner for liability insurance companies authorized by the insurance laws of the state in which the risk retention group is chartered.
2. Completed (signed and notarized) NAIC Uniformed Risk Retention Group Registration Form Part A.
3. A designation of this office as your company's agent for service of process in the state of Wisconsin using form OCI 26-802 (http://oci.wi.gov/app_pack/26-802.pdf). This document must be signed and notarized. (This document satisfies requirement 12D on the NAIC Uniformed RRG Registration Form)
4. In Wisconsin, unless a risk retention group has been issued a license to transact the business of insurance by this office, it is considered a surplus lines insurer and is taxed as such. All business must be placed through an authorized surplus lines agent and must adhere to the policyholder notice requirements of the Risk Retention Act of 1986. A surplus lines agent is required to report and pay the tax just as for any other surplus lines insurer.
 - ☐ Identify the name, address, and license number of a licensed Wisconsin surplus lines agent (not agency) soliciting insurance on behalf of the risk retention group in # 10 on the Uniformed RRG Registration Form.

5. After the initial filing, it is required that the following be filed:
- ☐ Subsequent amendments to the plan of operation or feasibility study.
6. After the initial filing, **unless filed electronically with the NAIC**, it is required that the following be filed:
- ☐ Annual NAIC statement filings, including a statement of actuarial opinion by a qualified actuary, prepared in accordance with the NAIC annual statement filings, or as filed with the state of domicile.
 - ☐ Quarterly statutory filings, in accordance with NAIC instructions, or as filed with the state of domicile.
 - ☐ Annual audited financial report by independent CPAs as filed with the state of domicile.

Please send the above information to:

State of Wisconsin
Office of the Commissioner of Insurance
Elena Vetrina
P.O. Box 7873
Madison, WI 53707-7873

elena.vetrina@wisconsin.gov
(608) 266-0105