FILED 09-24-2024 CIRCUIT COURT DANE COUNTY, WI 2023CV001310

STATE OF WISCONSIN : CIRCUIT COURT

BRANCH 15

In the Matter of the Liquidation of:

Case No. 2023CV1310

Wisconsin Reinsurance Corporation and 1st Auto &

Casualty Insurance Company

Case Code: 30703

DANE COUNTY

NOTICE REGARDING RECORDS RETENTION AUTHORIZATIONS

On August 27, 2024, the Department of Administration's Public Records Board (the "Board") issued a series of authorizations pertaining to the retention and disposition of records pertaining to insurers in liquidation. Specifically, the Board issued authorizations pertaining to the Office of the Commissioner of Insurance's retention and disposition of insurer financial records, corporate records, policy and claim documents, and non-financial records. Copies of the authorizations are attached hereto as Exhibits A-D.

The Commissioner of Insurance of the State of Wisconsin, Nathan Houdek, as Liquidator of Wisconsin Reinsurance Corporation and 1st Auto & Casualty Insurance Company, will retain and dispose of documents in this liquidation in accordance with the newly issued authorizations.

Dated at Madison, Wisconsin this 24th day of September, 2024.

Respectfully submitted,

GODFREY & KAHN, S.C.

By: Electronically signed by James A. Friedman
James A. Friedman
State Bar No. 1020756
Zachary P. Bemis
State Bar No. 1094291
Anthony S. Baish
State Bar No. 1031577

Attorneys for Petitioner Nathan Houdek, Commissioner of Insurance of the State of Wisconsin

One East Main Street, Suite 500 P.O. Box 2719 Madison, WI 53701-2719 Phone: 608-257-3911 Fax: 608-257-0609 jfriedman@gklaw.com zbemis@gklaw.com tbaish@gklaw.com

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EXHIBIT A

Filed 09-24-2024

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STATE OF WISCONSIN DEPARTMENT OF ADMINISTRATION PUBLIC RECORDS BOARD PRB-001 (R08/2023) PAGE 1 OF 3

http://publicrecordsboard.wi.gov

FAGE FOR 3						
Read instructions provided on pages 2-3 before completing.		1. RDA #	2. Record Series Liquidated Con		idator Financia	al Records
 In accordance with Wis. Stat. § 16.61, this form must be completed and approved by the Agency and the Public Records Board (PRB) within one year of creation of the records series and prior to disposition of any public record. Agency Records Officer: Forward original to the PRB. Maintain an agency copy during the PRB review process. 		3. RDA Status (C		New	☐ Amended	Renewal
		4. Agency #: 1	45	5. Unit #:	300	
		6. Agency Name				
		Office of the Commissioner of Insurance				
Division Name		Subdivision Nam	e			
Financial Regulation			700 St. 195			
7. Records Year 8. Medium f of Creation 1975 ⊠ Electronic/D		j e (Check all appro j orm ⊠ Paper 🔲				
9. Retention Time Period - Specify Actu	al Period	10. Event that Init	iates the Start of th	e Retention	Fime Period (Ch	ieck One)
Yrs Mo Wks Days	Permanent*	Creation Fiscal	Other (Specif	20 Maria (20 Mar		
3		☐ (CR) ☐ (FI	S) 🛛 Close	of liquidatio	n .	
*If selecting Permanent, cite supporting s	atute, code, other	legal authority, or	sufficient justificat	ion in Box 12	, Record Series	Description.
11. Disposition (Check One): ☐ De ☐ Transfer - Other Location (Specify)		oy Confidential	☐ Transfer - State	Archives (WI	HS) ☐ Tran	nsfer - UW Archives
12. Records Series Description						
Records are for insurance companies wis. Stat. § 645.41 and there has been their successors in office as the liquidal orders of the court.	a court order is:	sued pursuant to	Wis. Stat. § 645.	42 that appo	oints the comn	missioner and
Financial records accumulated during t assets, assessment records, paid invoi liquidator.						
The official record will be maintained el authenticity, accuracy, and accessibility the images of these applications are el quality and retention of the electronic ir confidentially.	/ the original inpι ectronically store	ut documents will ed and the quality	be imaged or ret of these images	formatted ar is acceptab	nd subject to re le. After verific	eview, to ensure cation of the
Box 16 - Confidential or Limited Acces	ss – Wis. Stat. §	601.465.				
Reason for Creation: Upon agency revi	ew it was detern	nined no existing	RDA covered the	ese records.		
13. Records Contain Personally Identifial ☐ Yes ☑ No	ole Information (PI	II):	14. PII Regist	try Exemption	ns (Check YES i	if PII is exempted):
15. Name of: Program Contact or	Records Of	ficer or Custodian:	Mary Sue Gil	ardi		
Telephone: 608-266-0091	Email	marvsue.gilard	i@wisconsin.gov			
16. Records Series Contains Content tha	t is Confidential or		<u> </u>	Yes N	<u> </u>	
If yes, enter Statute/Code/ or explain other			D2 042307	Ц 11		
17700 MRRAF® WAL SIGNATURES (see instru			Signed by:		×2	
anglishes and all the control of th	1987 - 1982 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986 - 1986		dra lazzeron		7/1/2	024 1:15 PM C
D श्रिष्ठिमि भिर्मिति ority Representative	Date (mm/dd/	(ccyy) Reco	rds Officer or Custo	dian	Date (r	mm/dd/ccyy)
PUBLIC RECORDS BOARD APPROVAL - Records Law), and that no records are desired						9.35(5), (Open
Cllynd Mulch	8127		1 67-1	12	ANNA	8/27/24
State Archivist	Date (mm/c	uu/ccyy) Exect	tive Secretary – PR	D	Date (r	mm/dd/ccyy)
Approval subje	ct to 10-year sunset	t per Wis. Stat. § 16.	61(4)(c). Action requ	iired before: "	sugust	+2034

EXHIBIT B

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STATE OF WISCONSIN DEPARTMENT OF ADMINISTRATION PUBLIC RECORDS BOARD PRB-001 (R08/2023) PAGE 1 OF 3

http://publicrecordsboard.wi.gov

Read instructions provided on pages 2-3 before completing.		1. RDA # 155	2. Record Serie Liquidated Ins		- Corporate and	d Financial	
 In accordance with Wis. Stat. § 16.61, this form must be completed and approved by the Agency and the Public Records Board (PRB) within one year of creation of the records series and prior to disposition of any public record. Agency Records Officer: Forward original to the PRB. Maintain an agency copy during the PRB review process. 		3. RDA Status (Ch	The second second	New	☐ Amended	Renewal	
		THE WAS SHOULD THE STATE OF THE	45	5. Unit #:	300		
		6. Agency Name					
		Office of the Commissioner of Insurance					
Division Name		Subdivision Nam	е				
Financial Regulation	_						
7. Records Year of Creation 1975	8. Medium for Electronic/Dig	677.	je (Check all approp orm				
9. Retention Time Perio	d - Specify Actual	Period	10. Event that Initi	ates the Start of	the Retention	Time Period (Ch	eck One)
Yrs Mo W	ks Days	Permanent*	Creation Fiscal	Other (Spec	1 E/22		
1			CR) [FIS	5	ox 12		
*If selecting Permanent, c	ite supporting sta	tute, code, other	legal authority, or s	sufficient justifica	ition in Box 12	2, Record Series	Description.
11. Disposition (Check Transfer - Other L	ñ)	troy 🛭 Destr	roy Confidential	☐ Transfer - Sta	te Archives (W	'HS) 🗌 Tran	sfer - UW Archives
12. Records Series Desc	ription						*
Records are for insurance of there has been a court orde shall take possession of the	er issued pursuant t	o Wis. Stat. § 645	5.42 that appoints the	commissioner and	ds for liquidatio I their successo	on outlined in Wis. ors in office as the	. Stat. § 645.41 and e liquidator which
Records of the liquidated insecurities. Stockholder reco	surance company i ords include stock c	nclude investment ertificate listings, c	t records such as bar cancelled stocks, sur	nk reports, bond ar rendered stocks, e	nd stock reports tc.	s, brokerage repo	rts, and listings of
Other records may include, invoices, general correspon records, reinsurance treatie	dence, corporate re	ecords, various co	mmunications, corpo	orate and statistica	papers, financia l records, accol	al records, payrol unts receivable re	information, ecords, collection
The official record will be m and accessibility the origina electronically stored and the documents will be kept on s	I input documents very guality of these im	will be imaged or r nages is acceptabl	reformatted and subje le. After verification o	ect to review, to en	sure the image	es of these applica	ations are
Box 10 – The effective date	of liquidation or un	til the close of liqu	uidation, whichever c	omes first.			
	nited Access - Mis	. Stat. § 601.465.					
Box 16 – Confidential or Lin	ilited Access — VVIS						
Box 16 – Confidential or Lin Reason for Creation: Upon		as determined no	existing RDA covered	d these records.			
Reason for Creation: Upon	agency review it wa		200		stry Exemptio	ns (Check YES i	f PII is exempted):
	agency review it wa		200		stry Exemptio ⊠ No	ns (<i>Check YES i</i>	f PII is exempted):
Reason for Creation: Upon 13. Records Contain Per Yes No	agency review it wa	le Information (PI	200	14. PII Regi	⊠ No	ns (Check YES i	f Pll is exempted):
Reason for Creation: Upon 13. Records Contain Per	agency review it wa esonally Identifiable gram Contact or	le Information (PI	II): fficer or Custodian:	14. PII Regi ☐ Yes Mary Sue G	⊠ No ilardi	ns (Check YES i	f PII is exempted):
13. Records Contain Per	agency review it was csonally Identifiable gram Contact or 66-0091 ains Content that	le Information (PI Records Of Email is Confidential o	II): fficer or Custodian: marysue.gilard r Access is Protecte	14. PII Regi Yes Mary Sue G i@wisconsin.go	⊠ No ilardi		f PII is exempted):
13. Records Contain Per	agency review it was esonally Identifiable gram Contact or 66-0091 ains Content that e/ or explain other L	le Information (PI Records Of Email is Confidential or .egal Authority in E	II): fficer or Custodian: marysue.gilard r Access is Protecte Box 12, Record Serie	14. PII Regi Yes Mary Sue G i@wisconsin.go	⊠ No ilardi v		f PII is exempted):
13. Records Contain Per	agency review it was esonally Identifiable gram Contact or 66-0091 ains Content that e/ or explain other L URES (see instruc	le Information (PI Records Of Email is Confidential of egal Authority in E	fficer or Custodian: marysue.gilard r Access is Protecte Box 12, Record Serie	14. PII Regi Yes Mary Sue G i@wisconsin.go ed: Es Description. Igned by:	⊠ No ilardi v ☑ Yes □ N		a
Reason for Creation: Upon 13. Records Contain Per	rsonally Identifiable gram Contact or 66-0091 ains Content that e/ or explain other L URES (see instruc	le Information (PI Records Of Email is Confidential of egal Authority in E	fficer or Custodian: marysue.gilard r Access is Protecte Box 12, Record Serie	14. PII Regi Yes Mary Sue G i@wisconsin.go ed: Es Description.	⊠ No ilardi v ☑ Yes □ N	No 7/26/	a
Reason for Creation: Upon 13. Records Contain Per Yes	agency review it was a sonally Identifiable of the sonal	le Information (PI Records Of Email is Confidential or egal Authority in Ections) 7/26/2024 Authority in Ections Date (mm/dd.	fficer or Custodian: marysue.gilard r Access is Protecte Box 12, Record Serie 4:13 PM diccyy) fried fr	14. PII Regi Yes Mary Sue G i@wisconsin.go ed: Es Description. Igned by: Wa Wyyrov orfospani.er or Cust as to record destru	⊠ No ilardi v ☑ Yes □ N idadan cotion contained	7/26/ Date (r	2024 1:04 PM
Reason for Creation: Upon 13. Records Contain Per	agency review it was a sonally Identifiable of the sonal	le Information (PI Records Of Email is Confidential or egal Authority in Ections) 7/26/2024 Authority in Ections Date (mm/dd.	fficer or Custodian: marysue.gilard r Access is Protecte Box 12, Record Serie 4:13 PM l/ccyy) fficory ntingent on restriction audit involving these re	14. PII Regi Yes Mary Sue G i@wisconsin.go ed: Es Description. Igned by: Wa Wyyrov orfospani.er or Cust as to record destru	No ilardi v Yes	7/26/ Date (r I in Wis. Stat. § 19 icipated.	2024 1:04 PM

EXHIBIT C

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STATE OF WISCONSIN
DEPARTMENT OF ADMINISTRATION
PUBLIC RECORDS BOARD
PRB-001 (R8/2023)

http://publicrecordsboard.wi.gov

Read instructions provided on pages 2-3 before completing. 1. RDA # 156 Liquidated Insurer Records - Policy and Claim Documents be completed and approved by the Agency and the Public Records Board (PRB) within one year of creation of the records series and prior to disposition of any public record. Agency Records Officer: Forward original to the PRB. Maintain an agency copy during the PRB review process. Division Name Financial Regulation 7. Records Year of Creation 1975 B. Medium for Records Storage (Check all appropriate) of Creation 1975 B. Electronic/Digital Microfrm Paper Other (Specify) 9. Retention Time Period - Specify Actual Period Yrs Mo Wks Days Permanent* Permanent* Creation Fiscal Other (Specify) See box 12 **If selecting Permanent, cite supporting statute, code, other legal authority, or sufficient justification in Box 12, Record Series Description Document Diction Document Document	
completed and approved by the Agency and the Public Records Board (PRB) within one year of creation of the records series and prior to disposition of any public record. • Agency Records Officer: Forward original to the PRB. Maintain an agency copy during the PRB review process. Division Name Financial Regulation 7. Records Year of Creation 1975 B. Medium for Records Storage (Check all appropriate) Subdivision Name Subdivision Name Paper Other (Specify)	ewal
Records Board (PRB) within one year of creation of the records series and prior to disposition of any public record. Agency Records Officer: Forward original to the PRB. Maintain an agency copy during the PRB review process. Division Name Financial Regulation 7. Records Year of Creation 1975 B. Medium for Records Storage (Check all appropriate) Check all appropriate) Check all appropriate) Check all appropriate) Check all appropriate) Paper Other (Specify) 9. Retention Time Period - Specify Actual Period Yrs Mo Wks Days Permanent* 1 Other (Specify) 10. Event that Initiates the Start of the Retention Time Period (Check One) Creation Fiscal Other (Specify) Creation Fiscal Other (Specify)	
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Maintain an agency copy during the PRB review process. Division Name Financial Regulation 7. Records Year of Creation 1975 Retention Time Period - Specify Actual Period Yrs Mo Wks Days Permanent* 1 Creation Fiscal Other (Specify) 1 Creation Fiscal Other (Specify) 1 See box 12	
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7. Records Year of Creation 1975 8. Medium for Records Storage (Check all appropriate) Selectronic/Digital Microform Paper Other (Specify) 9. Retention Time Period - Specify Actual Period 10. Event that Initiates the Start of the Retention Time Period (Check One) Yrs Mo	
of Creation 1975	
Yrs Mo Wks Days Permanent* Creation Fiscal Other (Specify) ☐ ☐ (CR) ☐ (FIS) ☑ See box 12	
1 □ (CR) □ (FIS) ⊠ See box 12	
*If selecting Permanent, cite supporting statute, code, other legal authority, or sufficient justification in Box 12, Record Series Description	
	2 2222
11. Disposition (Check One): ☐ Destroy ☐ Destroy Confidential ☐ Transfer - State Archives (WHS) ☐ Transfer - UW A☐ Transfer - Other Location (Specify):	Archives
12. Records Series Description	
Records are for insurance companies where OCI has filed a Petition for Liquidation based on the grounds for liquidation outlined in Wis. Stat. § 645.41 and the been a court order issued pursuant to Wis. Stat. § 645.42 that appoints the commissioner and their successors in office as the liquidator which shall take poss of the assets of the insurer and administer them under the orders of the court.	ere has session
Box 10 – Court established late claim filing deadline or until the close of the liquidation, whichever comes first.	
Records of the liquidated insurance company: Policy records prior to liquidation include insurance policy forms and indices to the files. Records covered include policies for which no claim was presented against the estate and files for which a claim was presented during the liquidation.	de those
Additional records may include, but are not limited to, insurance claim files against the company prior to liquidation as well as during the liquidation, proof of cl special claims, third party claims, disputed claims, claims of surety, secured creditors' claims, qualified financial contracts, and claims filed with ancillary receiv security or guaranty funds. Includes reinsurance claims, reinsurance treaties or contracts needed for such claims. Files may include correspondence regardin claim, claim determination, dividend check copies, etc.	vers or
The official record will be maintained electronically for the full retention period. To comply with Wis. Stat. §§ 16.61(7) and 137.20 for authenticity, accuracy, an accessibility the original input documents will be imaged or reformatted and subject to review, to ensure the images of these applications are electronically stouched the quality of these images is acceptable. After verification of the quality and retention of the electronic images, the paper documents will be kept on site for 3 and then destroyed confidentially.	ored and
Box 16 – Confidential or Limited Access – Wis, Stat. § 601.465.	
Reason for Creation: Upon agency review it was determined no existing RDA covered these records.	
13. Records Contain Personally Identifiable Information (PII): ☐ Yes ☐ No ☐ Yes ☐ No ☐ Yes ☐ No	mpted):
15. Name of: ☑ Program Contact or ☐ Records Officer or Custodian: Mary Sue Gilardi	2000 100 100 100 100 100 100 100 100 100
Telephone: 608-2660091 Email marysue.gilardi@wisconsin.gov	
16. Records Series Contains Content that is Confidential or Access is Protected: Yes No If yes, enter Statute/Code/ or explain other Legal Authority in Box 12, Record Series Description.	
17. APPROVAL SIGNATURES (see instructions)	
Amy J Malm 7/1/2024 2:03 PM CDT kendra lazzeroni 7/1/2024 1:	15 PM C
Designated Authority Representative Date (mm/dd/ccyy) Records Officer or Custodian Date (mm/dd/ccyy)	/)
PUBLIC RECORDS BOARD APPROVAL – Authorization is contingent on restrictions to record destruction contained in Wis. Stat. § 19.35(5), (Or Records Law), and that no records are destroyed if litigation or audit involving these records has commenced or a anticipated.	oen / /
alyul Mily 8/27/24 com 33 mm 8/2	7/24
State Archivist Date (mm/dd/ccyy) Executive Secretary – PRB Date (mm/dd/ccyy)	y)

EXHIBIT D

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STATE OF WISCONSIN DEPARTMENT OF ADMINISTRATION PUBLIC RECORDS BOARD PRB-001 (R08/2023) PAGE 1 OF 3

http://publicrecordsboard.wi.gov

PAGE 1 OF 3	•				
 Read instructions provided on pages 2-3 before completing. 	1. RDA # 2. Record Series Title 157 Liquidator Non-Financial Records				
In accordance with Wis. Stat. § 16.61, this form must be completed and approved by the Agency and the Public.	3. RDA Status (Check One): ⊠ New ☐ Amended ☐ Renewal				
completed and approved by the Agency and the Public Records Board (PRB) within one year of creation of the	4. Agency #: 145 5. Unit #: 300				
records series and prior to disposition of any public record.	6. Agency Name				
 Agency Records Officer: Forward original to the PRB. Maintain an agency copy during the PRB review process. 	Office of the Commissioner of Insurance				
Division Name	Subdivision Name				
Financial Regulation 7. Records Year 8. Medium for Records Storage	(Chack all appropriate)				
7. Records Year of Creation 1975 8. Medium for Records Storage (Check all appropriate)					
9. Retention Time Period - Specify Actual Period	10. Event that Initiates the Start of the Retention Time Period (Check One)				
Yrs Mo Wks Days Permanent*	Creation Fiscal Other (Specify)				
	☐ (CR) ☐ (FIS) ☐ Close of liquidation				
*If selecting Permanent, cite supporting statute, code, other	legal authority, or sufficient justification in Box 12, Record Series Description.				
11. Disposition (Check One): ☐ Destroy ☐ Destroy ☐ Destroy ☐ Transfer - Other Location (Specify):	by Confidential Transfer - State Archives (WHS) Transfer - UW Archives				
12. Records Series Description					
Records are for insurance companies where OCI has filed a Petition for Liquidation based on the grounds for liquidation outlined in Wis. Stat. § 645.41 and there has been a court order issued pursuant to Wis. Stat. § 645.42 that appoints the commissioner and their successors in office as the liquidator which shall take possession of the assets of the insurer and administer them under the orders of the court.					
Additional records may include, but are not limited to, corr Office of the Commissioner of Insurance, policyholders, cr statistical reports i.e. litigation and depositions/statements liquidator, civil actions, actuarial firms, lawyers, etc. The official record will be maintained electronically for the	nature, including reports of the liquidator i.e. audit reports, court reports, etc. respondence and Memorandums between Special Deputy Liquidator and the reditors, legal, and guaranty or security funds, etc., final claim disposition /interrogatories, legal actions issued filed by the liquidator and against the full retention period. To comply with Wis. Stat. §§ 16.61(7) and 137.20 for documents will be imaged or reformatted and subject to review, to ensure the				
images of these applications are electronically stored and	the quality of these images is acceptable. After verification of the quality and vill be kept on site for 3 months and then destroyed confidentially.				
Box 16 - Confidential or Limited Access - Wis. Stat. § 601.465.					
Reason for Creation: Upon agency review it was determin	ed no existing RDA covered these records.				
13. Records Contain Personally Identifiable Information (PII ☐ Yes ☑ No): 14. PII Registry Exemptions (Check YES if PII is exempted): ☐ Yes ☐ No				
15. Name of: ⊠ Program Contact or ☐ Records Off	icer or Custodian: Mary Sue Gilardi				
Telephone: 608-266-0091 Email	marysue.gilardi@wisconsin.gov				
16. Records Series Contains Content that is Confidential or If yes, enter Statute/Code/ or explain other Legal Authority in B					
17. DO APPROVAL SIGNATURES (see instructions)	3 PM CD tendra lazzeroni 7/1/2024 1:15 PM CDT				
Designated Authority Representative Date (mm/dd/	98715703F058481				
	tingent on restrictions to record destruction contained in Wis. Stat. § 19.35(5), (Open util tinvolving these records has commenced as anticipated.				
algul Muller 81271	24 clos 33 8/27/24				
State Archivist Date (mm/c					
Approval subject to 10-year supset	per Wis, Stat. § 16,61(4)(c), Action required before: \$1905+ 2034				