



HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

Dean Health Plan, Inc.

NAIC Group Code 1284 (Current) NAIC Company Code 86156 (Prior) Employer's ID Number 39-1535024

Organized under the Laws of Wisconsin, State of Domicile or Port of Entry WI

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes No

Incorporated/Organized 08/22/1883 Commenced Business 01/01/1884

Statutory Home Office 1277 Deming Way (Street and Number) Madison, WI US 53717 (City or Town, State, Country and Zip Code)

Main Administrative Office 1277 Deming Way (Street and Number) 808-838-1400 (Area Code) (Telephone Number)

Mail Address 1277 Deming Way (Street and Number) Madison, WI US 53717 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1277 Deming Way (Street and Number) 808-838-1400 (Area Code) (Telephone Number)

Internet Website Address www.deanhealth.com (Area Code) (Telephone Number)

Statutory Statement Contact Janet Marie Berger (Name) 808-830-5960 (Area Code) (Telephone Number)

janet.berger@deancare.com (E-mail Address) 808-252-0896 (FAX Number)

OFFICERS

President Walter Leslie McPherson Jr. Secretary & General Counsel Deen Allan Sutton

Treasurer & CFO Randy John Ruppinger

OTHER

Randy John Ruppinger, Treasurer & CFO Kevin Jon Eichhorn, Chief Medical Officer

Michael Andrew Weber, VP - Sales, Client & Health Promotion Services David Scott Dochow, SVP - Chief Growth Officer

Loreta Anne Lorenzen, VP - Network Management & Contracting Daniel James Hovinschel, VP - Product Management

Jason Andrew Conn, VP - Business Transformation Stephanie Jean Cook, VP - Compliance, Audit & Public Policy

Stephanie Jean Cook, VP - Compliance, Audit & Public Policy Kathy Ann Killian, VP - Operations

Ralph Buelling, VP - Information Technology

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	62,779,072		62,779,072	60,398,388
2. Stocks:				
2.1 Preferred stocks	186,384		186,384	565,092
2.2 Common stocks	49,207,368		49,207,368	47,172,622
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	16,381,320		16,381,320	16,705,814
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$108,985,134), cash equivalents (\$5,386,760) and short-term investments (\$931,729)	115,303,623		115,303,623	186,283,689
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities	15,407		15,407	561,375
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	243,873,174	0	243,873,174	311,686,980
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	482,692		482,692	519,256
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	10,250,721	258,477	9,992,244	16,637,450
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$20,954) and contracts subject to redetermination (\$)	20,954		20,954	20,954
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	32,711,208		32,711,208	23,262,354
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	882,418		882,418	732,315
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset	(118,075)		(118,075)	310,704
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software	7,831,853	1,838,369	5,993,484	4,700,066
21. Furniture and equipment, including health care delivery assets (\$)	2,177,649	2,177,649	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates			0	0
24. Health care (\$38,186) and other amounts receivable	3,555,974	3,517,788	38,186	44,936
25. Aggregate write-ins for other than invested assets	471,406	0	471,406	459,733
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	302,139,974	7,792,283	294,347,691	358,374,748
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	302,139,974	7,792,283	294,347,691	358,374,748
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Government Program Receivable	91,032		91,032	91,032
2502. Other Receivables	380,374		380,374	368,701
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	471,406	0	471,406	459,733

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ _____ reinsurance ceded)	24,990,308	1,406,975	26,397,283	25,009,558
2. Accrued medical incentive pool and bonus amounts	(9,051,961)		(9,051,961)	(5,882,562)
3. Unpaid claims adjustment expenses	295,124		295,124	351,479
4. Aggregate health policy reserves, including the liability of \$ _____ for medical loss ratio rebate per the Public Health Service Act	53,110,461		53,110,461	37,696,669
5. Aggregate life policy reserves0	.0
6. Property/casualty unearned premium reserve0	.0
7. Aggregate health claim reserves0	.0
8. Premiums received in advance	31,972,531		31,972,531	27,331,909
9. General expenses due or accrued	2,106,786		2,106,786	2,340,880
10.1 Current federal and foreign income tax payable and interest thereon (including \$ _____ on realized gains (losses))	21,126,152		21,126,152	17,320,256
10.2 Net deferred tax liability0	.0
11. Ceded reinsurance premiums payable0	.0
12. Amounts withheld or retained for the account of others0	.0
13. Remittances and items not allocated	1,644,058		1,644,058	2,060,792
14. Borrowed money (including \$ _____ current) and interest thereon \$ _____ (including \$ _____ current)0	.0
15. Amounts due to parent, subsidiaries and affiliates	19,328,349		19,328,349	34,707,782
16. Derivatives0	.0
17. Payable for securities0	300,212
18. Payable for securities lending0	.0
19. Funds held under reinsurance treaties (with \$ _____ authorized reinsurers, \$ _____ unauthorized reinsurers and \$ _____ certified reinsurers)0	.0
20. Reinsurance in unauthorized and certified (\$ _____) companies0	.0
21. Net adjustments in assets and liabilities due to foreign exchange rates0	.0
22. Liability for amounts held under uninsured plans0	.0
23. Aggregate write-ins for other liabilities (including \$ _____ current)	14,630,446	.0	14,630,446	19,906,875
24. Total liabilities (Lines 1 to 23)	160,152,254	1,406,975	161,559,229	161,143,850
25. Aggregate write-ins for special surplus funds	XXX	XXX	.0	.0
26. Common capital stock	XXX	XXX	175,000	175,000
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX	15,000,000	15,000,000
29. Surplus notes	XXX	XXX	6,662,500	6,662,500
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	.0	.0
31. Unassigned funds (surplus)	XXX	XXX	110,950,962	175,393,398
32. Less treasury stock, at cost:				
32.1 _____ shares common (value included in Line 26 \$ _____)	XXX	XXX		
32.2 _____ shares preferred (value included in Line 27 \$ _____)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	132,788,462	197,230,898
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	294,347,691	358,374,748
DETAILS OF WRITE-INS				
2301. Medicare Cost Contingency	14,442,590		14,442,590	19,703,135
2302. Escheat Checks Payable	187,856		187,856	187,655
2303. Payable to CHP0	16,085
2398. Summary of remaining write-ins for Line 23 from overflow page0	.0	.0	.0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	14,630,446	.0	14,630,446	19,906,875
2501. ACA Surplus	XXX	XXX		.0
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	.0	.0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	.0	.0
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	.0	.0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	.0	.0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	1,569,395	1,543,531	3,105,313
2. Net premium income (including \$ non-health premium income).....	XXX	677,132,427	674,734,637	1,382,743,489
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			
4. Fee-for-service (net of \$ medical expenses).....	XXX			
5. Risk revenue	XXX			
6. Aggregate write-ins for other health care related revenues	XXX	1,369,379	1,616,350	1,715,533
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	678,501,806	676,350,987	1,384,459,022
Hospital and Medical:				
9. Hospital/medical benefits	19,195,383	360,138,523	332,484,240	695,284,180
10. Other professional services	3,424,974	64,258,424	59,348,651	125,162,495
11. Outside referrals	1,115,299	20,924,932	19,816,150	39,744,444
12. Emergency room and out-of-area	5,288,452	99,220,484	95,123,511	182,378,123
13. Prescription drugs	3,839,402	72,033,805	76,085,897	151,019,048
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	(385,026)	(7,223,745)	4,491,669	(10,873,453)
16. Subtotal (Lines 9 to 15)	32,478,484	609,352,423	587,350,118	1,182,704,837
Less:				
17. Net reinsurance recoveries		9,737,005	10,389,632	23,435,339
18. Total hospital and medical (Lines 16 minus 17)	32,478,484	599,615,418	576,960,486	1,159,269,498
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$13,330,126 cost containment expenses		14,022,635	13,863,395	29,059,714
21. General administrative expenses		56,513,897	87,974,173	145,392,236
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)				0
23. Total underwriting deductions (Lines 18 through 22).....	32,478,484	670,151,950	678,798,054	1,333,721,448
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	8,349,856	(2,447,067)	50,737,574
25. Net investment income earned		2,045,768	2,375,043	5,054,319
26. Net realized capital gains (losses) less capital gains tax of \$		2,878,306	669,131	2,331,503
27. Net investment gains (losses) (Lines 25 plus 26)	0	4,924,074	3,044,174	7,385,822
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$599,277) (amount charged off \$906,094)]		(306,817)	(17,113)	(570,364)
29. Aggregate write-ins for other income or expenses	0	46,210	2,648,949	2,584,231
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	13,013,323	3,228,943	60,137,263
31. Federal and foreign income taxes incurred	XXX	2,912,433	7,829,745	18,948,916
32. Net income (loss) (Lines 30 minus 31)	XXX	10,100,890	(4,600,802)	41,188,347
DETAILS OF WRITE-INS				
0601. Other Healthcare Related Revenue	XXX	1,369,379	1,616,350	1,715,533
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	1,369,379	1,616,350	1,715,533
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Gain on Sale of Assets		46,507	2,652,475	2,586,229
2902. Other Expenses		(297)	(3,526)	(1,998)
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	46,210	2,648,949	2,584,231

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	197,230,898	151,919,778	151,919,778
34. Net income or (loss) from Line 32.....	10,100,890	(4,600,802)	41,188,347
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ (692,018).....	2,603,308	(1,962,904)	4,408,501
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....	263,241	187	(241,331)
39. Change in nonadmitted assets.....	2,590,125	3,238,925	8,455,603
40. Change in unauthorized and certified reinsurance.....	0	0	0
41. Change in treasury stock.....	0	0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in.....			0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....	0	0	0
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....	(80,000,000)		(8,500,000)
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	(64,442,436)	(3,324,594)	45,311,120
49. Capital and surplus end of reporting period (Line 33 plus 48).....	132,788,462	148,595,184	197,230,898
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above).....	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	703,573,570	684,770,008	1,365,872,902
2. Net investment income	2,573,656	2,817,049	5,798,077
3. Miscellaneous income	1,369,379	1,616,350	1,715,533
4. Total (Lines 1 to 3)	707,516,605	689,203,407	1,373,386,512
5. Benefit and loss related payments	612,299,791	571,507,684	1,148,420,307
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	76,514,121	66,666,219	172,378,624
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(128,346)	0	0
10. Total (Lines 5 through 9)	688,685,566	638,173,903	1,320,798,931
11. Net cash from operations (Line 4 minus Line 10)	18,831,039	51,029,504	52,587,581
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	11,144,222	8,795,013	16,411,521
12.2 Stocks	10,499,370	5,334,663	13,207,885
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	545,968	0	300,212
12.8 Total investment proceeds (Lines 12.1 to 12.7)	22,189,560	14,129,676	29,919,618
13. Cost of investments acquired (long-term only):			
13.1 Bonds	13,462,949	11,862,303	24,280,495
13.2 Stocks	5,457,013	5,635,582	9,027,695
13.3 Mortgage loans	0	0	0
13.4 Real estate	(11,570)	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	300,212	0	561,375
13.7 Total investments acquired (Lines 13.1 to 13.6)	19,208,604	17,497,885	33,869,565
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	2,980,956	(3,368,209)	(3,949,947)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	80,000,000	0	8,500,000
16.6 Other cash provided (applied)	(12,792,061)	9,047,229	32,572,763
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(92,792,061)	9,047,229	24,072,763
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(70,980,066)	56,708,524	72,710,397
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	186,283,689	113,573,292	113,573,292
19.2 End of period (Line 18 plus Line 19.1)	115,303,623	170,281,816	186,283,689

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--	--

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1			2		3		4	5	6	7	8	9	10
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan							
Total Members at end of:														
1. Prior Year	260,526	31,233	157,616	1,431	0	0	3,196	23,463	43,397	0				
2. First Quarter	261,666	30,295	157,149	1,327	0	0	3,196	24,319	45,380	0				
3. Second Quarter	261,250	30,184	156,048	1,299			3,192	24,337	46,190					
4. Third Quarter	0													
5. Current Year	0													
6. Current Year Member Months	1,569,395	180,687	942,732	7,920			19,277	146,027	272,752					
Total Member Ambulatory Encounters for Period:														
7. Physician	383,114	34,730	194,945	4,058			5,863	74,534	68,984					
8. Non-Physician	440,008	34,884	268,160	2,228			5,921	62,002	65,813					
9. Total	823,122	69,614	464,105	6,286	0	0	11,784	136,536	134,797	0				
10. Hospital Patient Days Incurred	63,082	3,791	21,364	1,959			1,647	28,081	6,250					
11. Number of Inpatient Admissions	9,597	701	3,939	207			218	3,094	1,438					
12. Health Premiums Written (a)	677,626,286	86,257,372	439,607,928	16,079,381			12,871,311	78,847,066	43,963,228					
13. Life Premiums Direct	0													
14. Property/Casualty Premiums Written	0													
15. Health Premiums Earned	677,626,286	86,257,372	439,607,928	16,079,381			12,871,311	78,847,066	43,963,228					
16. Property/Casualty Premiums Earned	0													
17. Amount Paid for Provision of Health Care Services	611,134,098	73,450,578	398,121,028	16,448,822			12,760,854	69,352,816	40,000,000					
18. Amount Incurred for Provision of Health Care Services	609,362,423	72,303,654	396,705,637	16,472,985			12,777,436	70,870,240	40,222,471					

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ _____

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0299999 Aggregate accounts not individually listed-uncovered	870,044					870,044
0399999 Aggregate accounts not individually listed-covered	15,453,492					15,453,492
0499999 Subtotals	16,323,536	0	0	0	0	16,323,536
0599999 Unreported claims and other claim reserves						
0699999 Total amounts withheld						
0799999 Total claims unpaid						
0899999 Accrued medical incentive pool and bonus amounts						
						28,397,288
						(9,061,961)

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	21,786,340	445,042,988	911,742	22,753,495	22,698,082	24,058,153
2. Medicare Supplement	168,489	16,280,322		182,663	168,489	168,489
3. Dental Only					0	0
4. Vision Only					0	0
5. Federal Employees Health Benefits Plan	132,859	12,827,995		149,441	132,859	132,859
6. Title XVIII - Medicare	(688,648)	70,111,083		1,617,434	(688,648)	100,010
7. Title XIX - Medicaid	550,037	39,449,963		772,508	550,037	550,037
8. Other health					0	0
9. Health subtotal (Lines 1 to 8)	21,938,087	583,512,351	911,742	25,485,541	22,850,829	25,009,558
10. Healthcare receivables (a)					0	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	(4,054,346)			(9,051,961)	(4,054,346)	(5,882,562)
13. Totals (Lines 9-10+11+12)	17,884,741	583,512,351	911,742	16,433,580	18,796,483	19,128,966

(a) Excludes \$ _____ loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Dean Health Plan, Inc. (the Company) are presented on the basis of accounting practices prescribed or permitted by the Office of the Commissioner of Insurance of the State of Wisconsin (OCI).

The OCI recognizes only statutory accounting practices prescribed or permitted by the State of Wisconsin for determining and reporting the financial condition and results of operations of an insurance company, for determining solvency under the Wisconsin Insurance Law. The National Association of Insurance Commissioners' Accounting Practices and Procedures manual (NAIC SAP), has been adopted as a component of prescribed or permitted practices by the State of Wisconsin. The state has adopted certain prescribed accounting practices that differ from those found in NAIC SAP. Specifically, the state has adopted a prescribed practice on affiliate receivables. Per Wisconsin Ins. 9.10, a receivable or other obligation of an affiliate to a health maintenance organization insurer or a limited service health organization insurer shall be valued at zero by the insurer for all purposes including, but not limited to, the purpose of reports or statements filed with the office, unless the commissioner specifically approves a different value. The different value shall not be more than the amount of the receivable, note or other obligation, which is fully secured by a security interest in cash or cash equivalents held in a segregated account or trust.

A reconciliation of the Company's capital and surplus between NAIC SAP and practices prescribed by the State of Wisconsin is shown below:

	SSAP #	F/S Page	F/S Line #	June 30, 2021	December 31, 2020
NET INCOME					
(1) The Company state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 10,100,890	\$ 41,188,347
(2) State prescribed practices that is an increase/(decrease) from NAIC SAP None				-	-
(3) State permitted practices that is an increase/(decrease) from NAIC SAP None				-	-
(4) NAIC SAP	XXX	XXX	XXX	\$ 10,100,890	\$ 41,188,347
SURPLUS					
(5) The Company state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 132,788,462	\$ 197,230,898
(6) State prescribed practices that is an increase/(decrease) from NAIC SAP Related Party receivables	WI Code 9.10	2	23	-	-
Related Party pharmacy rebate receivables	WI Code 9.10	2	24	3,517,788	2,057,193
(7) State permitted practices that is an increase/(decrease) from NAIC SAP None				-	-
(8) NAIC SAP	XXX	XXX	XXX	\$ 136,306,250	\$ 199,288,091

B. Use of Estimates

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Health premiums are recognized as revenue over the coverage period. A liability for premiums received in advance is established for premiums received for future coverage periods.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred.

Net investment income earned consists primarily of interest, dividends and rent income less investment related expenses. Interest is recognized on an accrual basis and dividends are recognized on an ex-dividend basis. Rental income includes an imputed rent for the Company's occupancy of its own building. Net realized capital gains (losses) are recognized on a specific identification basis when securities are sold, redeemed or otherwise disposed. Realized capital losses include write-downs for impairments considered to be other-than-temporary as applicable.

Real estate investments are classified in the balance sheet as properties occupied by the Company. They are carried at depreciated cost.

In addition, the Company uses the following accounting policies:

- Short-term investments are stated at amortized cost.
- Bonds are valued as prescribed by the NAIC. Bonds not backed by other loans are generally carried at cost, adjusted for the amortization of premiums, accretion of discounts, and any impairment. Premiums and discounts are amortized and accreted over the estimated lives of the related bonds based on the interest-yield method. The Company's bond portfolio is reviewed quarterly and as a result the carrying value of a bond may be reduced to reflect changes in valuation resulting from asset impairment. Bonds which have been assigned the NAIC category 3 thru 6 designations are written down to the appropriate NAIC carrying value.
- Common stocks are reported at fair value.

NOTES TO FINANCIAL STATEMENTS

4. Preferred stocks are reported at cost
5. Mortgage loans are not applicable
6. Investment grade loan-backed securities are stated at amortized cost. The retrospective adjustment method is used to determine amortized value for all loan-backed securities. Non-investment grade loan-backed securities with NAIC designations 6 would be stated at the lower of amortized cost or fair value. The Company does not currently have any non-investment grade loan-backed securities.

The carrying value and final NAIC designation for non-agency residential mortgage backed securities are determined using a special two-step NAIC process. Those assigned a NAIC designation in the first step of 1 or 2 are stated at amortized cost and those assigned 6 designation are stated at the lower of amortized cost or fair value. The NAIC designation assigned under the second step of the process is reported for these securities in Schedule D and is used in the risk-based capital calculation. The Company does not currently have any non-agency residential mortgage backed securities.
7. Investments in subsidiaries controlled and affiliated entities are not applicable
8. Investments in joint ventures and partnerships are not applicable.
9. Derivative investments are not applicable.
10. Premium deficiency reserves are not applicable
11. Claims unpaid include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for adverse development on case reserves and for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability is continually reviewed and any adjustments are reflected in the period determined.
12. The Company has a written capitalization policy for prepaid expense and purchases of items such as EDP equipment, software, furniture, other equipment and leasehold improvements. The predefined capitalization thresholds under the Company's policy have not changed from those of the prior year
13. The Company estimates pharmaceutical rebates utilizing past experience and accumulated statistical data. These estimates are continuously reviewed and any adjustment are reflected in current operations.
- D. After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.
2. Accounting Changes and Corrections of Errors
 - A. Accounting Changes as a Result of a Change in Accounting Principles and/or Corrections of Errors

No changes in accounting principles or correction of errors were made as of June 30, 2021.
3. Business Combinations and Goodwill

Not Applicable
4. Discontinued Operations

Not Applicable
5. Investments
 - A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable
 - B. Troubled Debt Restructuring for Creditors

Not Applicable
 - C. Reverse Mortgages

Not Applicable
 - D. Loan-Backed Securities

Not Applicable
 - E. Repurchase Agreements and /or Securities Lending Transaction

Not Applicable
 - F. Repurchase agreements transactions accounted for as secured borrowing

Not Applicable

NOTES TO FINANCIAL STATEMENTS

G. Reverse repurchase agreements transactions accounted for as secured borrowing

Not Applicable

H. Repurchase agreements transaction accounted for as secured borrowing

Not Applicable

I. Reverse repurchase agreements transaction accounted for as a sale

Not Applicable

J. Real Estate

Not Applicable

K. Low income house tax credits

Not Applicable

L. Restricted Assets

Restricted Asset Category	1	2	3	4	5	6
	Total Gross Restricted From Current Year	Total Gross Restricted From Prior Year	Increase / Decrease (1 minus 2)	Total Current Year Admitted Restricted	% Gross Restricted to Total Assets	% Admitted Restricted to Total Admitted Assets
a. Subject to contractual Obligation for which is not shown	\$ -	\$ -	\$ -	\$ -	0%	0%
b. Collateral held under security lending agreements	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-
d. Subject to dollar repurchase agreements	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-
h. Letter stock or securities restricted as to sale - excluding FHLB capital Stock	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-
j. On Deposit with state(s)	12,135,637	12,177,964	(42,327)	12,135,637	4.0%	4.1%
k. On Deposit with other regulatory bodies	-	-	-	-	-	-
l. Pledged as collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-
o. Total Restricted Assets	12,135,637	12,177,964	(42,327)	12,135,637	4.0%	4.1%

M. Working Capital Finance Investment

Not Applicable

N. Offsetting and Netting Assets and Liabilities

Not Applicable

O. 5GI Securities

Not Applicable

P. Short Sales

Not Applicable

Q. Prepayment Penalty and Acceleration Fees

	General Account
(1) Number of CUSIPs	2
(2) Aggregate Amount of Investment Income	\$ 18,739

R. Reporting Entity's Share of Cash Pool by Asset Type

Asset Type	Percent Share
(1) Cash	94.52%
(2) Cash Equivalents	4.67%
(3) Short-Term Investments	0.81%
(4) Total	100.00%

NOTES TO FINANCIAL STATEMENTS

6. Joint Ventures, Partnerships and Limited Liability Companies

A. Detail for Those Greater than 10% of Admitted Assets

Not Applicable

B. Write-downs for Impairments of Joint Ventures, Partnerships and LLCs

Not Applicable

7. Investment Income

A. Accrued Investment Income

The Company does not admit investment income due and accrued if amounts are over 90 days past due.

B. Amounts Nonadmitted

Not Applicable

8. Derivative Instruments

Not Applicable

9. Income Taxes

No significant changes

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. The Company is 100% owned by Dean Health Insurance, Inc. All outstanding shares of Dean Health Insurance, Inc. are owned by Dean Health Systems, Inc., who is 100% owned by FPP, Inc. FPP, Inc. is an owned subsidiary of SSM Health Care Corporation. Significant transactions are presented in Schedule Y.

B. Change in Terms of Intercompany Arrangements

Not Applicable

C. Transactions with related party who are not reported on Schedule Y

Not Applicable

D. Amounts Due to or from Related Parties

<u>DUE FROM</u>	<u>June 30, 2021</u>	<u>December 31, 2020</u>
Navitus Health Solutions Pharmacy Rebates	\$3,517,788	\$2,057,193
<u>DUE TO</u>	<u>June 30, 2021</u>	<u>December 31, 2020</u>
Dean Health Service Company, LLC	\$10,128,043	\$17,442,801
Dean Health Systems, Inc.	\$8,755,094	\$12,275,689
SSM Health Care Corporation	\$445,212	\$4,989,292

E. Management, Service Contracts, Cost Sharing Arrangements

Dean Health Plan, Inc. has agreed to have Navitus Health Solutions, LLC provide certain administrative services regarding insurance policies underwritten by Dean Health Plan, Inc. These services include claims processing, provider contracting, administration of benefits, and performance of appropriate business functions that are reasonable and necessary for the general conduct of the Dean Health Plan, Inc. business.

The Company has a Service Agreement in place with Dean Health Systems, Inc. and SSM Health Care Corporation.

The Company contracts with Dean Health Service Company, LLC for various administrative and management services.

F. Nature of Relationships that Could Affect Operations

Not Applicable

G. Amount Deducted for Investment in Upstream Company

Not Applicable

H. Detail of Investments in Affiliates Greater than 10% of Admitted Assets

Not Applicable

I. Write-down for Impairments of Investment in Affiliates

Not Applicable

NOTES TO FINANCIAL STATEMENTS

- J. CARVM assumptions for adjusting foreign subsidiary annuity reserves from GAAP
Not Applicable
- K. Downstream Holding Company Valued using Look-Through Method
Not Applicable
- L. Non-Insurance subsidiary, controller and affiliated (SCA) entity valuations
Not Applicable
- M. SCA investments
Not Applicable
- N. Investments in Insurance SCA's
Not Applicable
- O. SCA or SSAP 48 Entity Loss Tracking
Not Applicable
- 11. Debt
Not Applicable
- 12. Retirement Plans, Deferred Compensation, Post-Employment Benefits and Compensated Absences and Other Postretirement Benefit Plans
 - A. Defined Benefit Plans
Not Applicable
 - B. Description of Investment Policies
Not Applicable
 - C. Fair Value of Plan Assets
Not Applicable
 - D. Rate of Return Assumptions
Not Applicable
 - E. Defined Contribution Plans
No significant changes
 - F. Multiemployer Plans
Not Applicable
 - G. Consolidated/Holding Company Plans
Not Applicable
 - H. Postemployment Benefits and Compensated Absences
Not Applicable
 - I. Impact of Medicare Modernization Act
Not Applicable
- 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations
 - A. Outstanding Shares
The Company has 100 shares authorized, issued and outstanding.
 - B. Dividend Rate of Preferred Stock
Not Applicable
 - C. Dividend Restrictions
Without prior approval of its domiciliary commissioner, dividends to shareholders are limited by the laws of the Company's state of incorporation, Wisconsin.

NOTES TO FINANCIAL STATEMENTS**D. Ordinary or Extraordinary Dividends Paid**

Per the non-disapproval letter dated May 17, 2021, an extraordinary dividend in the amount of \$80,000,000 was declared by the Company on May 11, 2021 and was paid to Dean Health Insurance, Inc. Dean Health Insurance, Inc. then paid an \$80,000,000 extraordinary dividend to Dean Health Systems, Inc.

E. Profits that may be Paid as Ordinary Dividends

Within the limitations of (C) above, there are no restrictions placed on the portion of the Company's profits that may be paid as ordinary dividends to stockholders.

F. Restrictions Placed on Surplus

Not Applicable

G. Mutual Surplus Advances

Not Applicable

H. Company Stock Held for Special Purposes

Not Applicable

I. Changes in Special Surplus Funds

Not Applicable

J. Changes in Unassigned Funds

The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses are \$17,694,209.

K. Surplus Notes

- The Company issued the following surplus debentures or similar obligations;

Item Number	Date Issued	Interest Rate	Original Issue Amount of Note	Is Surplus Note Holder a Related Party (Y/N)	Carrying Value of Note (Prior Year)	Carrying Value of Note (Current Year)	Unapproved Interest And/Or Principal
1000	11/19/2012	0.10%	4,996,875	Y	4,996,875	4,996,875	417,358
2000	11/19/2012	0.10%	1,665,625	Y	1,665,625	1,665,625	139,119
Total	XXX	XXX	\$ 6,662,500	XXX	\$6,662,500	\$ 6,662,500	556,477

The surplus note in the amount of \$4,996,875, listed as item #1000 in the above table, was issued to St Vincent Hospital in exchange for cash.

The surplus note in the amount of \$1,665,625, listed as item #2000 in the above table, was issued to Prevea Clinic, Inc. in exchange for cash.

- Rule 144A under the Securities Act of 1933

Not Applicable

- The surplus note has the following repayment conditions and restrictions:

DHP shall not repay, discharge or retire these Surplus Notes nor pay any principal or accrued interest under these Surplus Notes (together, "Payment Obligations") unless it shall have given the Office of the Wisconsin Commissioner of Insurance ("the Commissioner") at least sixty (60) days prior written notifications of the proposed payment, repayment, discharge or retirement and said Commissioner has indicated his or her prior written approval of said payment. The Commissioner has complete discretion to approve or refuse to approve a payment, repayment, discharge or retirement. The Commissioner may consider any factors in making his or her determination including, but not limited to, the compliance of the Company with compulsory and security surplus requirements.

- The surplus note has the following subordination terms:

The rights of the holder hereof to payment of any Payment Obligations(s) are and shall remain subject and subordinate to all policy claims against, indebtedness of and other claims against the Company, and, in the event of any insolvency proceeding, dissolution or liquidation of the Company, no payment Obligations shall be due or payable upon this Surplus Notes until the just claims of all policy claimants, creditors and other claimants of the Company have been paid in full. The Company may establish an appropriate reserve account, out of it surplus, to provide for the orderly repayment of these Surplus Notes, but any such reserve account shall be subject to this provision for subordinations.

- In the event of any insolvency proceedings, dissolution or liquidation of the Company, no payment obligations shall be due or payable upon these Surplus notes until just claims of all policy claimants, creditors and other claimants of the Company have been paid in full.

NOTES TO FINANCIAL STATEMENTS

- The Company has an outstanding liability for borrowed money in the amount of \$4,996,875 due to St. Vincent Hospital and \$1,665,625 due to Prevea Clinic, Inc. due on or before eighteenth calendar month after the date of expiration or termination of that certain Network Organization and Administration Agreement by and among DHP and Lenders. Interest at 0.1% is required to be paid upon approval from the Office of the Commissioner of Insurance – State of Wisconsin. Interest paid during both 2021 and 2020 was \$0.

L. Quasi-Reorganizations

Not Applicable

M. Effective Date of Quasi-Reorganizations

Not Applicable

14. Contingencies

A. Contingent Commitments

Not Applicable

B. Guaranty Fund and Other Assessments

Not Applicable

C. Gain Contingencies

Not Applicable

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

Not Applicable

E. Joint and Several Liabilities

Not Applicable

F. Other Contingencies

Dean Health Plan, Inc. (DHP) is a participant in the Medicare Cost program. As part of the program, the annual Cost reports are routinely audited by Centers for Medicare and Medicaid Services. Each audit may result in the Company being paid additional revenue for the audited periods or returning revenue for the audited period. The Company calculates and holds a contingency reserve for possible unfavorable audit results. The contingency reserve is developed using actuarial estimates and product knowledge. The contingency reserve amount is reviewed annually. The contingency reserve for the Medicare Cost product is \$14,442,590 and \$19,703,135 as of June 30, 2021 and December 31, 2020, respectively.

At June 30, 2021, the Company had admitted assets of \$9,992,244 in uncollected premiums. The Company routinely assesses the collectability of these receivables. Based upon experience, the Company estimated that \$1,300,000 may be uncollectible at June 30, 2021 and December 31, 2020. In addition, the Company non-admits any receivable balances over 90 days.

15. Leases

A. No significant changes

B. Lessor Leasing Arrangements

Not Applicable

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not Applicable

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable

B. Transfer and Servicing of Financial Assets

Not Applicable

C. Wash Sales

Not Applicable

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. Administrative Services Only (ASO) Plans

The Company began administering Level Funded Plans starting May 1, 2021. Dean Health Insurance Company is the stop loss provider for all Level Funded Plans administered by the Company.

NOTES TO FINANCIAL STATEMENTS**B. Administrative Services Contract (ASC) Plans**

Not Applicable

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

No significant changes

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable

20. Fair Value Measurement**A. Inputs Used for Assets and Liabilities Measured and Reported at Fair Value****(1) Items Measured at Fair Value by Levels 1, 2 and 3**

Description	Level 1	Level 2	Level 3	Total	Net Asset Value (NAV) Included in Level 2
a. Asset at fair value					
Common Stock					
Industrial and Misc	\$ 35,625,810	\$ -	\$ -	\$ 35,625,810	\$ -
Mutual Funds	\$ 13,581,558	\$ -	\$ -	\$ 13,581,558	\$ -
Total Common Stock	\$ 49,207,368	\$ -	\$ -	\$ 49,207,368	\$ -
Total Assets at fair value	\$ 49,207,368	\$ -	\$ -	\$ 49,207,368	\$ -

(2) Roll forward of Level 3 Items

Not Applicable

(3) Policy on Transfers Into and Out of Level 3

The Company has no assets or liabilities measured at fair value in the Level 2 or 3 category

(4) Inputs and Techniques used for Level 2 and Level 3 Fair Value.

The Company has no assets or liabilities measured at fair value in the Level 2 or 3 category

(5) Derivative Assets and Liabilities

Not Applicable

B. Other Fair value Disclosures

Not Applicable

C. Aggregate Fair Value for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)	Net Asset Value (NAV) Included in Level 2
Long-Term Bonds	\$ 64,950,914	\$ 62,779,072	\$ 32,447,486	\$ 32,503,428	\$ -	\$ -	\$ -
Short-Term Bonds	931,775	931,729	921,765	10,010	-	-	-
Common Stock	49,207,368	49,207,368	49,207,368	-	-	-	-
Redeemable Preferred Stock	198,150	186,384	198,150	-	-	-	-

D. Reasons Not Practicable to Estimate Fair Value

Not Applicable

E. NAV Practical Expedient Investments

Not Applicable

21. Other Items**A. Unusual or Infrequent Items**

Not Applicable

NOTES TO FINANCIAL STATEMENTS**B. Troubled Debt Restructuring for Debtors**

Not Applicable

C. Other Disclosures

Declines in fair value which are determined to be other-than-temporary are recorded as realized losses. The Company determines a decline to be other-than-temporary by reviewing all investments and evaluating various subjective and objective factors. These factors, among others, include the financial condition of the issuer, the market, the length of time the security has been in a loss position, and the severity of that loss. The amount of these declines deemed other-than-temporary was \$0 and \$890,741 as of June 30, 2021 and December 31, 2020, respectively.

The Company, as mandated by the OCI, eliminated reporting of hospital tax in the financial statement to disclosing the amount of hospital tax in this note, hospital tax legislation enacted into law in 2009 of \$10,848,161 and \$19,077,279 as of June 30, 2021 and December 31, 2020, respectively. In addition, the Company discloses the ambulatory surgical center and critical access tax legislation enacted into law in 2010 of \$227,970 and \$511,955 as of June 30, 2021 and December 31, 2020, respectively.

D. Business Interruption Insurance Recoveries

The Company maintains insurance for both property damage and business interruption relating to catastrophic events. Business interruption coverage covers lost profits and other costs incurred. Non-refundable insurance recoveries received in excess of the net book value of damaged assets, clean-up and demolition, and post-event costs are recognized as income in the period received.

In 2018, the Company recorded \$1.5 million in insurance proceeds from its insurance carrier relating to the Madison flood. The amount accrued exceeded the net book value of damaged assets and post-storm costs incurred. The Company recognized \$385,000 in "Other income" in 2018.

In 2019, the Company did not receive any additional insurance proceeds related to the Madison Flood.

In 2020, the Company received \$2,650,801 for insurance proceeds related to the Madison Flood.

In 2021, the Company received \$46,507 for insurance proceeds related to the Madison Flood.

E. State Transferable and Non-transferable Tax Credits

Not Applicable

F. Subprime Mortgage Related Risk Exposure

Not Applicable

G. Retained Assets

Not Applicable

H. Insurance-linked securities

Not Applicable

22. Events Subsequent**A. Type I - Recognized Subsequent Events**

Not Applicable

B. Type II - Non-recognized Subsequent Event

Subsequent events have been considered through August 15, 2021 for the statutory financial statement issued on August 15, 2021.

23. Reinsurance**A. Ceded Reinsurance Report****Section 1 – General Interrogatories**

1. Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes () No (X)
2. Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

1. Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes () No (X)

NOTES TO FINANCIAL STATEMENTS

2. Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

1. What is the estimated amount of the aggregate reduction in surplus, for agreements, not reflected in Section 2 above, of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0
2. Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the company as of the effective date of the agreement? Yes () No (X)
- B. Uncollectible Reinsurance
Not Applicable
- C. Commutation of Ceded Reinsurance
Not Applicable
- D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation
Not Applicable
24. Retrospectively Rated Contracts and Contracts Subject to Redetermination
- A. The Company estimates accrued retrospective premium adjustments for its group health insurance business through a model using the Company's underwriting rules.
- B. The Company records accrued retrospective premium as an adjustment to earned premiums.
- C. Pursuant to the ACA, the Company's commercial business is subject to retrospectively rated features based on the actual medical loss ratios experienced on the commercial line of business. The formula is calculated pursuant to the ACA guidance. The total amount of direct premiums written for the commercial line of business subject to the retrospectively rated features was \$538,736,612 and \$1,125,855,856, representing 79.5% and 81.4% of total direct premiums written as of June 30, 2021 and December 31 2020, respectively.
- The Company has risk-adjustment amounts from CMS which are subject to a redetermination feature related to Medicare premiums. The Company has estimated premium adjustments for changes to each member's health scores based on guidelines determined by CMS. The total amount of Medicare direct premiums written for which a portion is subject to the redetermination feature was \$3,133,832 and \$7,854,523, representing .5% and .6% of total direct premiums written as of June 30, 2021 and December 31, 2020, respectively.
- D. As of June 30, 2021 the company was not required to pay Medical Loss ratio rebates.
- E. Risk-Sharing Provision of the Affordable Care Act
1. Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provision? Yes

NOTES TO FINANCIAL STATEMENTS

2. Impact of Risk Sharing Provision of the Affordable Care Act on admitted assets, liabilities, and revenue for the current year:	6/30/2021
a. Permanent ACA Risk Adjustment Program	
Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ -
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	103,322
3. Premium adjustments payable due to ACA Risk Adjustment	53,110,461
Operations (Revenue & Expenses)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	(16,598,234)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	33,169
b. Transitional ACA Reinsurance Program	
Asset	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ -
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	-
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	-
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	-
5. Ceded reinsurance premiums payable due to ACA Reinsurance	-
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	-
Operations (Revenue & Expenses)	
7. Ceded reinsurance premiums due to ACA Reinsurance	-
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	-
9. ACA Reinsurance contributions - not reported as ceded premium	-
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ -
Liabilities	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	-
Operations (Revenue & Expenses)	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	-
4. Effect of ACA Risk Corridors on change in reserves for rate credits	-

NOTES TO FINANCIAL STATEMENTS

The Company participated in the Federal Insurance Exchange in the State of Wisconsin for individual products during 2021. At June 30, 2021 the Company recorded the financial impact that the Affordable Care Act (ACA) market stabilization programs (Risk Adjustment, Reinsurance, Risk Corridor and Cost Share Reduction) have due to our participation. The Company holds all ACA liabilities and receivables with ultimate responsibility for these programs. Some of these stabilization programs are netted in the financial statements by transferring the financial impact to our capitated, risk assuming providers per existing Service Agreement. Accordingly, some of these stabilization programs render no net impact on operating results of the Company at June 30, 2021.

3. Roll forward of prior year Affordable Care Act risk sharing provision for the following asset (gross of any non-admission) and liability balances along with the reasons for adjustment to prior year balance

	Accrued During the Prior Year on Business		Received or Paid as of the Current Year		Difference		Adjustments		Unsettled Balances as of the Reporting Date	
	Written Before December 31 of the Prior Year		on Business Written Before December 31 of the Prior Year		Prior Year Accrued	Prior Year Accrued	To Prior Year	To Prior Year	Cumulative Balance	Cumulative Balance
	1	2	3	4	Less Payment (Col 1-3)	Less Payment (Col 2-4)	Balances	Balances	from Prior Years (Col 1-3+7)	from Prior Years (Col 2-4+8)
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	
a. Permanent ACA Risk Adjustment Program										
1. Premium adjustment receivable	-	-	-	-	-	-	-	-	-	-
2. Premium adjustment (payable)	-	(37,931,365)	-	(1,210,953)	-	(36,720,412)	-	223,684	-	(36,496,728)
3. Subtotal ACA Permanent Risk Adjustment Program	-	(37,931,365)	-	(1,210,953)	-	(36,720,412)	-	223,684	-	(36,496,728)
b. Transitional ACA Reinsurance Program										
1. Amounts recoverable for claims paid	-	-	-	-	-	-	-	-	-	-
2. Amounts recoverable for claims unpaid (Contra Liability)	-	-	-	-	-	-	-	-	-	-
3. Amounts receivable relating to uninsured plans	-	-	-	-	-	-	-	-	-	-
7. Subtotal ACA Transitional Reinsurance Program	-	-	-	-	-	-	-	-	-	-
c. Temporary ACA Risk Corridors Program										
1. Accrued retrospective premium	-	-	-	-	-	-	-	-	-	-
2. Reserve for rate-credits or policy experience rating refunds	-	-	-	-	-	-	-	-	-	-
3. Subtotal ACA Risk Corridors Program	-	-	-	-	-	-	-	-	-	-
d. Total for ACA Risk-Sharing Provisions	-	(37,931,365)	-	(1,210,953)	-	(36,720,412)	-	223,684	-	(36,496,728)

NOTES TO FINANCIAL STATEMENTS

4. Roll Forward of ACA Risk Corridor Asset and Liability Balances

Not Applicable

5. Risk Corridor Receivable

Risk Corridor Program Year	(1)	(2)	(3)	(4)	(5)	(6)
	Estimated Amount to be Filed or Final Amount Filed with CMS	Non-Accrued Amounts for Impairment or Other Reasons	Amounts received from CMS	Asset Balance (gross of Nonadmission) (1-2-3)	Non-admitted Amount	Net Admitted Asset (4-5)
2014	\$ 14,089,113	11,852,974	13,452,814	-	-	-
2015	\$ 19,914,347	\$ 19,914,347	18,845,292	-	-	-
2016	\$ 5,406,793	5,406,793	5,136,454	-	-	-
Total	<u>39,410,253</u>	<u>37,174,114</u>	<u>37,434,560</u>	<u>-</u>	<u>-</u>	<u>-</u>

25. Changes in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2020 were \$25,009,558. As of June 30, 2021 \$21,939,087 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$911,742 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been favorable prior-year development since December 31, 2020 to June 30, 2021. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

26. Intercompany Pooling Arrangements

Not Applicable

27. Structured Settlements

Not Applicable

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days of Billing
6/30/2021	2,159,162	1,884,002			
3/31/2021	1,953,041	2,228,159	594,374		
12/31/2020	1,824,325	1,916,872	1,921,033	(4,162)	
9/30/2020	1,736,164	1,831,354	844,692	997,914	(11,252)
6/30/2020	1,575,731	1,720,868	590,370	1,131,518	(1,019)
3/31/2020	1,191,478	1,702,911	309,941	1,386,452	6,518
12/31/2019	1,192,001	1,231,495	162,092	1,069,169	234
9/30/2019	1,191,407	1,176,990	286,418	889,448	1,124
6/30/2019	1,031,001	1,024,610	613,344	417,657	(6,391)
3/31/2019	893,478	885,315	264,087	651,958	(30,730)
12/31/2018	574,067	960,927	325,444	634,743	740
9/30/2018	893,244	873,688	110,730	764,086	(1,128)

B. Risk Sharing Receivables

Not Applicable

29. Participating Policies

Not Applicable

NOTES TO FINANCIAL STATEMENTS

30. Premium Deficiency Reserves

Not Applicable

31. Anticipated Salvage and Subrogation

Not Applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No []
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No []
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No []
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes. _____
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No []
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No []
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 03/06/2020
- 6.4 By what department or departments?
Wisconsin Office of the Commissioner of Insurance (OCI)
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No []
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No []
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain: _____
- 9.2 Has the code of ethics for senior managers been amended? Yes No
 9.21 If the response to 9.2 is Yes, provide information related to amendment(s). _____
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s). _____

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ _____

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
 11.2 If yes, give full and complete information relating thereto: _____
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ _____
13. Amount of real estate and mortgages held in short-term investments: \$ _____
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$ 0 | \$ _____ |
| 14.22 Preferred Stock | \$ 0 | \$ _____ |
| 14.23 Common Stock | \$ 0 | \$ _____ |
| 14.24 Short-Term Investments | \$ 0 | \$ _____ |
| 14.25 Mortgage Loans on Real Estate | \$ 0 | \$ _____ |
| 14.26 All Other | \$ 0 | \$ _____ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 0 | \$ _____ |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ _____ | \$ _____ |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ _____
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ _____
- 16.3 Total payable for securities lending reported on the liability page. \$ _____

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Northern Trust Company	50 South LaSalle Street Chicago, IL 60603

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
The Northern Trust Company	U
Northern Trust Securities	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
7927	The Northern Trust Company	6PTKHJ8HDF78PFH30	N	NO
	Northern Trust Securities	3CHS099JSPHD9HGNVJ46	Y	NO

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent90.5 %
- 1.2 A&H cost containment percent2.0 %
- 1.3 A&H expense percent excluding cost containment expenses8.4 %
- 2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date \$
- 2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date \$
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [] No [X]
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No [X]

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

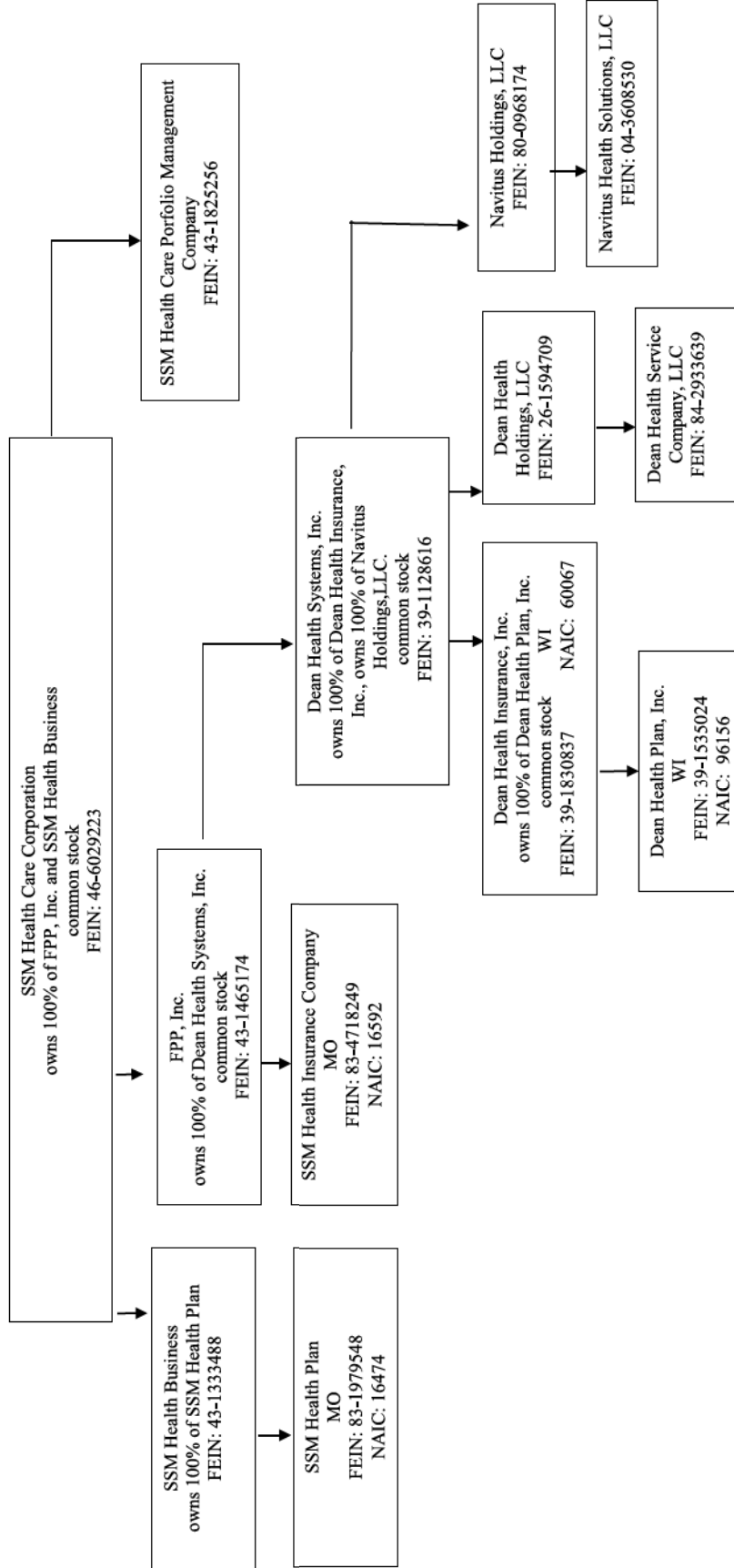
Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only								10 Deposit-Type Contracts	
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 CHIP Title XXI	6 Federal Employees Health Benefits Program Premiums	7 Life and Annuity Premiums & Other Considerations	8 Property/Casualty Premiums	9 Total Columns 2 Through 8		
1. Alabama	AL	N								0	
2. Alaska	AK	N								0	
3. Arizona	AZ	N								0	
4. Arkansas	AR	N								0	
5. California	CA	N								0	
6. Colorado	CO	N								0	
7. Connecticut	CT	N								0	
8. Delaware	DE	N								0	
9. District of Columbia	DC	N								0	
10. Florida	FL	N								0	
11. Georgia	GA	N								0	
12. Hawaii	HI	N								0	
13. Idaho	ID	N								0	
14. Illinois	IL	N								0	
15. Indiana	IN	N								0	
16. Iowa	IA	N								0	
17. Kansas	KS	N								0	
18. Kentucky	KY	N								0	
19. Louisiana	LA	N								0	
20. Maine	ME	N								0	
21. Maryland	MD	N								0	
22. Massachusetts	MA	N								0	
23. Michigan	MI	N								0	
24. Minnesota	MN	N								0	
25. Mississippi	MS	N								0	
26. Missouri	MO	N								0	
27. Montana	MT	N								0	
28. Nebraska	NE	N								0	
29. Nevada	NV	N								0	
30. New Hampshire	NH	N								0	
31. New Jersey	NJ	N								0	
32. New Mexico	NM	N								0	
33. New York	NY	N								0	
34. North Carolina	NC	N								0	
35. North Dakota	ND	N								0	
36. Ohio	OH	N								0	
37. Oklahoma	OK	N								0	
38. Oregon	OR	N								0	
39. Pennsylvania	PA	N								0	
40. Rhode Island	RI	N								0	
41. South Carolina	SC	N								0	
42. South Dakota	SD	N								0	
43. Tennessee	TN	N								0	
44. Texas	TX	N								0	
45. Utah	UT	N								0	
46. Vermont	VT	N								0	
47. Virginia	VA	N								0	
48. Washington	WA	N								0	
49. West Virginia	WV	N								0	
50. Wisconsin	WI	L	538,736,611	94,926,447	43,963,228					677,626,286	
51. Wyoming	WY	N								0	
52. American Samoa	AS	N								0	
53. Guam	GU	N								0	
54. Puerto Rico	PR	N								0	
55. U.S. Virgin Islands	VI	N								0	
56. Northern Mariana Islands	MP	N								0	
57. Canada	CAN	N								0	
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0	0	0
59. Subtotal	XXX	538,736,611	94,926,447	43,963,228	0	0	0	0	677,626,286	0	
60. Reporting Entity Contributions for Employee Benefit Plans	XXX									0	
61. Totals (Direct Business)	XXX	538,736,611	94,926,447	43,963,228	0	0	0	0	677,626,286	0	
DETAILS OF WRITE-INS											
58001.	XXX										
58002.	XXX										
58003.	XXX										
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....1
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0
 N - None of the above - Not allowed to write business in the state.....56
 R - Registered - Non-domiciled RRGs.....0
 Q - Qualified - Qualified or accredited reinsurer.....0

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMEBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART**



STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	
234		9519	3-533024				Dean Health Plan, Inc.	WI	RE	Dean Health Insurance Inc	Ownership	100.00	SSM Health Care Corporation	N	
234		9067	3-830867				Dean Health Insurance Inc	WI	DP	Dean Health Systems Inc	Ownership	100.00	SSM Health Care Corporation	N	
		.0000	04-300830				Navius Health Solutions	WI	NA	Navius Holdings, LLC	Ownership	100.00	SSM Health Care Corporation	N	
		.0000	81-098174				Navius Holdings	WI	NA	Dean Health Systems Inc	Ownership	100.00	SSM Health Care Corporation	N	
		.0000	3-122616				Dean Health Systems Inc	WI	JP	FPP, Inc	Ownership	100.00	SSM Health Care Corporation	N	
		.0000	45-465174				FPP, Inc	WI	JP	SSM Health Care Corporation, Not-for-profit	Ownership	100.00	SSM Health Care Corporation	N	
		.0000	46-625223				SSM Health Care Corporation	MO	JP	SSM Health Care Corporation, Not-for-profit	Ownership	100.00	SSM Health Care Corporation	N	
234		.0000	45-333488				SSM Health Business	MO	NA	SSM Health Business	Ownership	100.00	SSM Health Care Corporation	N	
234		9474	85-307548				SSM Health Plan	MO	IA	SSM Health Business	Ownership	100.00	SSM Health Care Corporation	N	
		9592	85-4718249				SSM Health Insurance Company	WI	NA	SSM Health Business	Ownership	100.00	SSM Health Care Corporation	N	
		.0000	26-1504709				Dean Health Holdings, LLC	WI	NA	Dean Health Systems, Inc	Ownership	100.00	SSM Health Care Corporation	N	
		.0000	84-2833639				Dean Health Service Company, LLC	WI	NA	SSM Health Holdings, LLC	Ownership	100.00	SSM Health Care Corporation	N	
		.0000	45-1825255				SSM Health Care Portfolio Management Company	MO	NA	SSM Health Care Corporation, Not-for-profit	Ownership	100.00	SSM Health Care Corporation	N	

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

- 1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

NONE

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	16,705,814	17,506,950
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	(11,570)	
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		.0
4. Total gain (loss) on disposals		(160,910)
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		.0
7. Deduct current year's other than temporary impairment recognized		.0
8. Deduct current year's depreciation	312,924	640,226
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	16,381,320	16,705,814
10. Deduct total nonadmitted amounts		.0
11. Statement value at end of current period (Line 9 minus Line 10)	16,381,320	16,705,814

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	108,136,111	95,810,757
2. Cost of bonds and stocks acquired	18,919,962	33,308,190
3. Accrual of discount	25,890	68,551
4. Unrealized valuation increase (decrease)	3,295,326	5,580,381
5. Total gain (loss) on disposals	3,643,425	4,045,201
6. Deduct consideration for bonds and stocks disposed of	21,662,331	29,489,884
7. Deduct amortization of premium	204,291	327,731
8. Total foreign exchange change in book/adjusted carrying value		.0
9. Deduct current year's other than temporary impairment recognized		890,742
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	18,739	31,388
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	112,172,831	108,136,111
12. Deduct total nonadmitted amounts		.0
13. Statement value at end of current period (Line 11 minus Line 12)	112,172,831	108,136,111

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	49,967,608	8,138,312	4,931,016	(231,714)	49,967,608	52,944,190		49,719,442
2. NAIC 2 (a)	11,789,834	473,674	1,845,910	135,779	11,789,834	10,553,377		11,934,796
3. NAIC 3 (a)	213,688	0	0	(464)	213,688	213,234		214,159
4. NAIC 4 (a)	0	0	0	0	0	0		0
5. NAIC 5 (a)	0	0	0	0	0	0		0
6. NAIC 6 (a)	0	0	0	0	0	0		0
7. Total Bonds	61,971,141	8,612,986	6,776,926	(96,399)	61,971,141	63,710,802	0	60,868,388
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0		0
9. NAIC 2	186,379	0	0	4	186,376	186,383		565,062
10. NAIC 3	0	0	0	0	0	0		0
11. NAIC 4	0	0	0	0	0	0		0
12. NAIC 5	0	0	0	0	0	0		0
13. NAIC 6	0	0	0	0	0	0		0
14. Total Preferred Stock	186,379	0	0	4	186,376	186,383	0	565,062
15. Total Bonds and Preferred Stock	62,157,520	8,612,986	6,776,926	(96,395)	62,157,520	63,897,185	0	61,433,450

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 831,728 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	931,729	XXX	934,412	1,030	993

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	20,019	2,889,979
2. Cost of short-term investments acquired	1,820,300	279,584
3. Accrual of discount	7	4,888
4. Unrealized valuation increase (decrease)0
5. Total gain (loss) on disposals501
6. Deduct consideration received on disposals	900,000	3,154,931
7. Deduct amortization of premium	8,597	.2
8. Total foreign exchange change in book/adjusted carrying value0
9. Deduct current year's other than temporary impairment recognized0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	931,729	20,019
11. Deduct total nonadmitted amounts0
12. Statement value at end of current period (Line 10 minus Line 11)	931,729	20,019

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

NONE

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,227,647	1,022,007
2. Cost of cash equivalents acquired	19,129,539	35,979,438
3. Accrual of discount	9	31
4. Unrealized valuation increase (decrease)0
5. Total gain (loss) on disposals		(6)
6. Deduct consideration received on disposals	15,970,435	34,773,465
7. Deduct amortization of premium358
8. Total foreign exchange change in book/adjusted carrying value0
9. Deduct current year's other than temporary impairment recognized0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	5,386,760	2,227,647
11. Deduct total nonadmitted amounts0
12. Statement value at end of current period (Line 10 minus Line 11)	5,386,760	2,227,647

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	2 Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	City	State						
					0	0	0	0
0399999 - Totals								

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	2 Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	9 Current Year's Depreciation	10 Current Year's Other-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Book/Adjusted Carrying Value (11-9-10)	13 Total Foreign Exchange Change in Book/Adjusted Carrying Value	14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	City	State																	
NONE																			
0399999 - Totals																			

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

NONE

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and Administrative Symbol
912029-32-9	UNITED STATES 2.5% TREAS NTS		04/15/2021	MORGAN SESS INTL NEW YORK		1,326,880	1,250,000	6,474	I.A
912030-46-4	UNITED STATES 0% PER TREAS BONDS		06/30/2021	J. P. MORGAN SECURITIES LLC		1,238,217	1,200,000	3,816	I.A
912030-46-4	UNITED STATES 0% PER TREAS BONDS		04/15/2021	J. P. MORGAN SECURITIES LLC		586,323	550,000	3,452	I.A
912030-46-4	UNITED STATES 0% PER TREAS BONDS		04/15/2021	J. P. MORGAN SECURITIES LLC		1,628,200	1,520,000	121	I.A
912030-46-4	UNITED STATES 0% PER TREAS BONDS		06/17/2021	HESSITIT BARRS		789,910	750,000	131	I.A
05999999-Subtotal - Bonds - U.S. Governments						6,246,786	6,116,400	21,986	XXX
727814-HE-3	PINIL OIL (ARIZ) BLEND REV		04/22/2021	J. P. MORGAN SECURITIES LLC		205,000	205,000	0	I.B FE
31999999-Subtotal - Bonds - U.S. Special Revenues						205,000	205,000	0	XXX
068841-03-3	INREBE HOLDING LTD	D	05/24/2021	BELLS FARGO		131,002	130,000	10	2.A FE
068841-03-3	BANK WITHEVA MEDIUM TERM 0.349% 0		04/06/2021	HESSITIT BARRS		97,827	100,000	2,200	I.F FE
064159-10-6	BANK OF NYFA 3007A	A	06/23/2021	CITIGROUP GLOBAL MARKETS INC.		305,859	299,000	729	I.F FE
78016E-70-1	ROYAL BK CAN GLOBAL MEDIUM TERM SR		04/22/2021	RBC CAPITAL MARKETS, LLC		148,000	148,000	0	I.F FE
808854-14-4	SCHLUMBER INTL FIXED 2.65% DAE	D	04/05/2021	GLDWINN, SACHS AND CO.		117,474	116,000	862	I.F FE
808516-14-4	BANK OF AMERICA CORP FLO 0.17		04/16/2021	HERILL LYNCH PIERCE FENER & SMITH		149,000	149,000	0	I.F FE
808516-14-7	CHARLES SCHWAB CORP FLO 0.17 DAE		05/11/2021	CREDIT SUISSE AG, NEW YORK BRANCH		178,165	169,000	19,000	I.F FE
172804-10-6	CITIGROUP INC FLO RT DAE 0% 01-20		05/03/2021	BELLS FARGO		12,000	12,000	0	I.F FE
45106-14-6	HELLERWORKER CORP 5.95% DAE 04-0		05/04/2021	BELLS FARGO		15,741	12,000	3,741	I.C FE
466776-05-1	PIERSON CHASE A. CO 2.78% DAE 04-0		06/08/2021	CITIGROUP GLOBAL MARKETS INC.		232,298	223,000	933	I.F FE
691916-00-7	WILCOX GROUP INC SR INT		04/15/2021	J. P. MORGAN SECURITIES LLC		150,000	150,000	0	I.F FE
141620-10-2	SARA HEALTH CARE LP		05/11/2021	CITIGROUP GLOBAL MARKETS INC.		38,938	140,000	49	2.A FE
990004-20-5	BELLS FARGO A. CO MEDIUM TERM SR INT		04/30/2021	WYNN TRUST COMPANY, THE		28,207	14,000	1,262	2.C FE
38999999-Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,159,211	2,117,000	4,646	XXX
83999997-Total - Bonds - Part 3						8,812,997	8,438,400	26,644	XXX
83999998-Total - Bonds						XXX	XXX	XXX	XXX
83999997-Total - Preferred Stocks - Part 3						8,438,400	8,438,400	26,644	XXX
83999998-Total - Preferred Stocks - Part 5						0	XXX	0	XXX
83999999-Total - Preferred Stocks						XXX	XXX	XXX	XXX
869591-10-1	BR CO INC PHARMACEUTICALS INC COM		06/29/2021	GLDWINN, SACHS AND CO.	5,000	0	0	0	XXX
00454H-10-3	ACCELION PHARM INC COM		06/29/2021	GLDWINN, SACHS AND CO.	214,000	4,576	0	0	XXX
005070-10-9	ACTIVISION BLIZZARD INC COM STR		06/29/2021	GLDWINN, SACHS AND CO.	37,000	4,682	0	0	XXX
006505-10-9	ADAPTIVE BIOTECHNOLOGIES CORP COM		06/25/2021	GLDWINN, SACHS AND CO.	34,000	3,736	0	0	XXX
00724E-10-1	ADBE SYS INC COM		06/25/2021	GLDWINN, SACHS AND CO.	1,739	1,739	0	0	XXX
007511-10-6	ADVANCE AUTO PFS INC COM		06/25/2021	GLDWINN, SACHS AND CO.	2,044	2,044	0	0	XXX
008252-10-9	AFFILIATED MANAGERS GROUP INC COM		06/25/2021	GLDWINN, SACHS AND CO.	3,541	3,541	0	0	XXX
001055-10-2	AFAC INC COM		06/25/2021	GLDWINN, SACHS AND CO.	3,904	3,904	0	0	XXX
001230-10-4	ARC INTL CORP COM		06/29/2021	GLDWINN, SACHS AND CO.	71,000	3,224	0	0	XXX
001650-10-9	ARCADIA GROUP INC COM		06/25/2021	GLDWINN, SACHS AND CO.	31,000	1,581	0	0	XXX
012653-10-1	ARMEMORE CORP COM		06/25/2021	GLDWINN, SACHS AND CO.	2,001	2,001	0	0	XXX
013351-10-9	ALION PHARMACEUTICALS INC COM		06/29/2021	GLDWINN, SACHS AND CO.	20,000	3,675	0	0	XXX
016255-10-1	ALIGN TECHNOLOGY INC COM		06/29/2021	GLDWINN, SACHS AND CO.	3,099	3,099	0	0	XXX
017671-10-5	ALUMINUM RUC SR6		04/27/2021	GLDWINN, SACHS AND CO.	200,000	5,176	0	0	XXX
018002-10-9	ALLIANT ENERGY CORP COM		06/25/2021	GLDWINN, SACHS AND CO.	1,969	1,969	0	0	XXX
01879F-10-1	ALLISON TRANSMISSION HOLDING		06/25/2021	GLDWINN, SACHS AND CO.	51,000	2,036	0	0	XXX
020002-10-1	ALLSTATE CORP COM		06/29/2021	GLDWINN, SACHS AND CO.	4,000	4,000	0	0	XXX
02039F-10-0	ALUMINUM RUC SR6 1000.001 CL C		06/29/2021	GLDWINN, SACHS AND CO.	22,000	1,196	0	0	XXX
02158K-10-3	ALTIUS USA INC CL A		06/29/2021	GLDWINN, SACHS AND CO.	1,179	1,179	0	0	XXX
02313E-10-6	AMSTON CO INC COM		06/29/2021	GLDWINN, SACHS AND CO.	5,000	17,093	0	0	XXX

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and Administrative Symbol
16267-10-9	GARMIN LTD COMMON STOCK		06/25/2021	GLDMNH, S04S AND 01	14,000	2,021			
32663-10-7	GATHER, INC COM		06/25/2021	GLDMNH, S04S AND 01	8,000	6,385			
37034-10-4	GENIE MILLS INC COM		06/29/2021	GLDMNH, S04S AND 01	118,000	7,058			
37269-10-5	GENIE MILLS INC COM		06/29/2021	GLDMNH, S04S AND 01	16,000	2,042			
37800-10-8	GLOBAL BLOOD THERAPEUTICS INC COM		04/27/2021	GLDMNH, S04S AND 01	113,000	4,674			
37856-10-2	GLAXO LIFE INC COM		06/28/2021	GLDMNH, S04S AND 01	13,000	1,266			
38115-10-4	GOODBY INC CL A CL A		06/25/2021	GLDMNH, S04S AND 01	23,000	1,988			
40131-10-9	GLAXO S04S GRAP INC COM		06/29/2021	GLDMNH, S04S AND 01	6,000	2,203			
40216-10-1	GLAXO S04S GRAP INC COM		06/29/2021	GLDMNH, S04S AND 01	16,000	1,972			
40216-10-1	HALLIBURTON CO COM		06/25/2021	GLDMNH, S04S AND 01	35,000	2,035			
42056-10-3	HEALTHCARE RESEARCH INC		06/25/2021	GLDMNH, S04S AND 01	58,000	2,068			
42806-10-8	HELD CORP NEW CL A CL A		04/27/2021	GLDMNH, S04S AND 01	28,000	3,328			
44125-10-2	HERBALIFE NUTRITION LTD COM STK		06/25/2021	GLDMNH, S04S AND 01	22,000	1,268			
44786-10-8	HERSHEY COMPANY		06/25/2021	GLDMNH, S04S AND 01	12,000	2,101			
42604-10-7	HESS CORP COM STK		06/25/2021	GLDMNH, S04S AND 01	23,000	2,054			
42624-10-9	HELIETT PACKAGING ENTERPRISE CO COM		06/25/2021	GLDMNH, S04S AND 01	137,000	2,019			
42829-10-8	HEXCEL CORP NEW COM		06/29/2021	GLDMNH, S04S AND 01	38,000	5,271			
43076-10-2	HILCO INC COM		06/25/2021	GLDMNH, S04S AND 01	31,000	6,542			
43701-10-3	HILTI INC COM		06/25/2021	GLDMNH, S04S AND 01	35,000	3,375			
44038-10-1	HIMEL FLOS CORP COM		06/25/2021	GLDMNH, S04S AND 01	35,000	3,329			
44201-10-8	HMMET AEROSPACE INC COM		06/28/2021	GLDMNH, S04S AND 01	24,530	1,761			
44541-10-5	HP Inc Com		06/28/2021	GLDMNH, S04S AND 01	51,000	2,556			
44679-10-2	HRYTT INTL CORP COM CL A COM CL		06/25/2021	GLDMNH, S04S AND 01	14,000	2,936			
44905-10-7	ICI INC COM		06/25/2021	GLDMNH, S04S AND 01	3,000	1,862			
45180-10-4	IDEEX LABS INC COM		06/25/2021	GLDMNH, S04S AND 01	13,000	1,143			
45307-10-2	IFCITE CORP COM		06/29/2021	GLDMNH, S04S AND 01	15,000	3,322			
45316-10-0	IGMPA LIFESCIENCES HOLD CORP COM		06/25/2021	GLDMNH, S04S AND 01	13,000	1,536			
45906-10-1	IGMPA LIFESCIENCES INC COM		06/28/2021	GLDMNH, S04S AND 01	49,000	2,977			
46014-10-3	IGMPA LIFESCIENCES INC COM		06/28/2021	GLDMNH, S04S AND 01	23,000	978			
46122-10-3	IGMPA LIFESCIENCES INC COM		06/28/2021	GLDMNH, S04S AND 01	914	5,066			
46222-10-0	IGMPA LIFESCIENCES INC COM		06/28/2021	GLDMNH, S04S AND 01	154,000	5,066			
46294-10-1	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	12,000	517			
46303-10-1	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	22,000	3,984			
46307-10-5	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	19,000	2,143			
46308-10-3	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	31,000	1,984			
46314-10-0	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	58,000	2,022			
46318-10-3	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	13,000	1,663			
46448-10-9	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	97,000	2,045			
46466-10-1	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	229,000	4,116			
46469-10-0	IGMPA LIFESCIENCES INC COM		06/29/2021	GLDMNH, S04S AND 01	10,000	3,214			
500794-10-0	IGMPA LIFESCIENCES INC COM		06/25/2021	GLDMNH, S04S AND 01	49,000	1,984			
46510-10-2	IGMPA LIFESCIENCES INC COM		06/25/2021	GLDMNH, S04S AND 01	7,000	2,005			
46510-10-3	IGMPA LIFESCIENCES INC COM		06/25/2021	GLDMNH, S04S AND 01	28,000	3,846			
46510-10-4	IGMPA LIFESCIENCES INC COM		06/25/2021	GLDMNH, S04S AND 01	19,000	2,008			
46510-10-5	IGMPA LIFESCIENCES INC COM		06/25/2021	GLDMNH, S04S AND 01	141,000	8,115			
501865-10-7	IGMPA LIFESCIENCES INC COM		06/28/2021	GLDMNH, S04S AND 01	6,000	1,068			
501865-10-8	IGMPA LIFESCIENCES INC COM		06/28/2021	GLDMNH, S04S AND 01	17,000	2,942			
501865-10-9	IGMPA LIFESCIENCES INC COM		06/28/2021	GLDMNH, S04S AND 01	49,000	3,213			
501865-10-0	IGMPA LIFESCIENCES INC COM		06/28/2021	GLDMNH, S04S AND 01	22,000	3,120			

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and Administrative Symbol
55002-10-9	...DILLON ARNETTA INC OM		06/25/2021	GLDMM, SACS AND OI	8,000	2,152			J
55024-10-3	...LUMEN TECHNOLOGIES INC		06/25/2021	GLDMM, SACS AND OI	142,000	2,009			J
55071-10-3	...MARIOTT INC		06/25/2021	GLDMM, SACS AND OI	19,000	2,008			J
55276-10-0	...Market Basket Indenture		06/25/2021	GLDMM, SACS AND OI	1,872	1,906			J
57000-10-6	...MARKETBASS BLOCS INC COM STK		06/25/2021	GLDMM, SACS AND OI	4,000	1,872			J
57034-10-6	...MARTIN MARIETTA WALLS INC COM		06/25/2021	GLDMM, SACS AND OI	6,000	2,156			J
57374-10-4	...MARPELL TECH INC		06/25/2021	GLDMM, SACS AND OI	5,260	2,821			J
57589-10-6	...MARGO CORP COM		06/25/2021	GLDMM, SACS AND OI	51,000	2,957			J
57690-10-4	...MASTERCARD INC CL A		06/29/2021	GLDMM, SACS AND OI	8,000	3,040			J
57728-10-1	...MATCH GROUP INC REG COM		06/25/2021	GLDMM, SACS AND OI	13,000	2,106			J
57736-10-7	...MATH INTEGRATED PRODS INC COM		06/29/2021	GLDMM, SACS AND OI	20,000	2,083			J
58000-10-3	...MATCO CORP COM		06/29/2021	GLDMM, SACS AND OI	9,000	2,034			J
58039-10-3	...MAXSON CORP		06/29/2021	GLDMM, SACS AND OI	3,000	2,101			J
58070-10-8	...MERCURY SYSTEMS INC		06/25/2021	GLDMM, SACS AND OI	46,000	3,156			J
59158-10-8	...META INC COM STK		06/25/2021	GLDMM, SACS AND OI	34,000	2,075			J
59268-10-5	...METTLER-TOLEDO INTL INC COM		04/27/2021	GLDMM, SACS AND OI	33,000	43,695			J
59269-10-5	...METTLER-TOLEDO INTL INC COM		06/25/2021	GLDMM, SACS AND OI	33,000	43,695			J
59295-10-1	...MGM RESORTS INTERNATIONAL COM		06/25/2021	GLDMM, SACS AND OI	142,000	6,202			J
59297-10-4	...MGM RESORTS INTERNATIONAL COM		06/29/2021	GLDMM, SACS AND OI	11,000	1,653			J
59312-10-3	...MICROCHIP TECHNOLOGY INC COM		06/25/2021	GLDMM, SACS AND OI	26,000	2,133			J
59309-10-4	...MICRON TECH INC COM		06/25/2021	GLDMM, SACS AND OI	12,000	2,079			J
59309-10-4	...MICRON TECH INC COM		06/25/2021	GLDMM, SACS AND OI	3,000	2,175			J
59309-10-4	...MICRON TECH INC COM		06/25/2021	GLDMM, SACS AND OI	9,000	2,079			J
60007-10-0	...MILVIA-HEALTHCARE INC COM		06/29/2021	GLDMM, SACS AND OI	35,000	2,211			J
61746-10-8	...MINERALS INTL INC COM		06/29/2021	GLDMM, SACS AND OI	20,000	1,759			J
61945-10-3	...MORGAN STANLEY COM		06/28/2021	GLDMM, SACS AND OI	84,000	2,621			J
62007-10-7	...MORGAN STANLEY COM		06/25/2021	GLDMM, SACS AND OI	9,000	1,940			J
62007-10-7	...MORGAN STANLEY COM		06/25/2021	GLDMM, SACS AND OI	4,000	2,127			J
64028-10-8	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	317,000	6,145			J
64110-10-8	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	2,000	1,061			J
64282-10-7	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	290,000	2,564			J
65011-10-7	...MORTON ENTERPRISES COM		04/19/2021	MERRILL LYNCH INTERNATIONAL LIMITED	47,000	2,074			J
65129-10-6	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	75,000	1,966			J
65183-10-6	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	149,000	9,330			J
65249-10-9	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	78,000	2,077			J
65339-10-1	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	14,000	1,046			J
65518-10-8	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	53,000	1,332			J
66377-10-8	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	74,000	1,966			J
67020-10-9	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	37,000	2,177			J
67039-10-7	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	4,000	2,295			J
67181-10-7	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	34,000	2,092			J
67690-10-0	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	10,000	2,536			J
68065-10-5	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	29,000	1,340			J
68191-10-6	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	25,000	2,006			J
68389-10-5	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	70,000	5,500			J
68074-10-1	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	20,000	1,983			J
68158-10-8	...MORTON ENTERPRISES COM		06/29/2021	GLDMM, SACS AND OI	21,000	2,836			J
68390-10-3	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	6,000	2,12			J
68372-10-8	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	215,000	10,078			J
68312-10-3	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	198,000	2,014			J
71864-10-4	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	32,000	2,071			J
72631-10-6	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	83,000	6,301			J
72797-10-7	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	12,000	1,962			J
72778-10-5	...MORTON ENTERPRISES COM		06/25/2021	GLDMM, SACS AND OI	8,000	3,646			J

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and Administrative Symbol
88337F-10-5	TEL. CO. INC. CO		06/25/2021	GLDMN, SACS AD 01	41,000	4,108			J
92629B-10-4	THURM INC. CO		06/25/2021	GLDMN, SACS AD 01	25,000	2,026			J
92629B-10-4	THURM INC. CO		06/25/2021	GLDMN, SACS AD 01	25,000	2,026			J
90326B-10-3	TSUN FOODS INC. CL. A. COM (RELIANCE)		06/25/2021	GLDMN, SACS AD 01	27,000	2,013			J
90326B-10-3	TSUN FOODS INC. CL. A. COM (RELIANCE)		06/25/2021	GLDMN, SACS AD 01	84,000	4,345			J
90431F-10-7	UBER TECHNOLOGIES INC. COM (USD 000)		06/25/2021	GLDMN, SACS AD 01	59,000	2,147			J
910047-10-9	UNION PACIFIC CORP. CL. A		06/25/2021	GLDMN, SACS AD 01	54,000	3,448			J
91131E-10-6	UNITED CONT'L. FLOS. INC. COM STK		06/25/2021	GLDMN, SACS AD 01	23,000	4,739			J
91307D-10-2	UNITED PARCEL SVC. INC. CL. B		06/25/2021	GLDMN, SACS AD 01	108,000	1,789			J
91333J-10-1	UNITED THERAPEUTICS CORP. DEL. COM S		06/25/2021	GLDMN, SACS AD 01	36,000	2,052			J
92075S-30-4	US BANK CORP.		06/25/2021	GLDMN, SACS AD 01	28,000	2,140			J
92075S-30-4	US BANK CORP.		06/25/2021	GLDMN, SACS AD 01	28,000	2,140			J
92766E-10-6	VALIC RET. INC. COM		06/25/2021	GLDMN, SACS AD 01	269,000	9,984			J
92766E-10-6	VALIC RET. INC. COM		06/25/2021	GLDMN, SACS AD 01	13,000	2,042			J
92766E-10-6	VALIC RET. INC. COM		06/25/2021	GLDMN, SACS AD 01	17,000	3,019			J
92774B-10-8	VALON MATERIALS CO. COM		06/25/2021	GLDMN, SACS AD 01	54,000	4,477			J
931427-10-8	VANERUS BOOTS ALLIANCE INC. COM		06/25/2021	GLDMN, SACS AD 01	18,000	935			J
94106L-10-9	Vesta Management, Inc. Com		06/25/2021	GLDMN, SACS AD 01	14,000	1,947			J
94164B-10-3	VITECH CORP. COM		06/25/2021	GLDMN, SACS AD 01	7,000	2,368			J
94276E-10-4	VLS. THERAPY GROUP INC. COM		06/25/2021	GLDMN, SACS AD 01	507,000	3,996			J
94276E-10-4	VLS. THERAPY GROUP INC. COM		06/25/2021	GLDMN, SACS AD 01	15,000	5,402			J
95008E-10-5	VEST. PHARMACEUTICAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	4,000	2,042			J
95008E-10-5	VEST. PHARMACEUTICAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	15,000	492			J
96145D-10-5	Vestrock Co. Com		06/25/2021	GLDMN, SACS AD 01	55,000	2,930			J
966004-10-1	WILLIAMS SONOMA INC. COM		06/25/2021	GLDMN, SACS AD 01	37,000	6,362			J
966004-10-1	WILLIAMS SONOMA INC. COM		06/25/2021	GLDMN, SACS AD 01	10,000	2,349			J
96198F-10-3	WILLIS TOWERS WATSON PLC		06/25/2021	GLDMN, SACS AD 01	8,000	1,944			J
96198F-10-3	WILLIS TOWERS WATSON PLC		06/25/2021	GLDMN, SACS AD 01	37,000	4,772			J
96198F-10-3	WILLIS TOWERS WATSON PLC		06/25/2021	GLDMN, SACS AD 01	17,000	1,986			J
96198F-10-3	WILLIS TOWERS WATSON PLC		06/25/2021	GLDMN, SACS AD 01	30,000	1,983			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	4,000	1,980			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	9,000	1,091			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	27,000	10,036			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	394,000	2,066			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	26,000	2,966			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	31,000	4,077			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	329,000	5,082			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	36,000	5,822			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	24,000	2,062			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	24,000	1,962			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	18,000	2,033			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	38,000	1,896			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	4,161	4,161			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	4,077	4,077			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	560,000	57,010			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	32,000	1,970			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	37,000	2,262			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	57,000	2,698			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	21,000	1,680			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	532,000	5,967			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	172,000	17,617			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	3,000	1,588			J
966004-20-0	WILSON FINANCIAL SVCS INC. COM		06/25/2021	GLDMN, SACS AD 01	16,000	1,925			J

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and Administrative Symbol
17188-10-3	MERRILL LYNCH INTERNAT. LTD.	C	06/25/2021	MERRILL LYNCH INTERNAT. LTD.	10,000	2,040			
19180-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	344,000	8,589			
19180-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	344,000	8,572			
013870-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	34,000	3,002			
143810-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	137,000	8,866			
237366-10-1	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	34,000	6,157			
253335-10-2	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	21,000	2,125			
253335-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	21,000	10,249			
253335-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	21,000	1,432			
253335-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	35,000	7,63			
26284-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	19,000	2,618			
28771A-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	36,000	2,301			
16100-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	26,000	2,231			
36478-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	44,000	9,218			
44814-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	2,000	456			
44814-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	13,000	1,922			
515296-10-1	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	13,000	2,098			
53797-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	13,000	4,443			
54534-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	1,921	1,921			
56000-10-7	AMEREN ENERGY INC.		04/27/2021	AMEREN ENERGY INC.	188,000	7,045			
57920-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	1,000	6,416			
57920-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	41,000	6,416			
59330-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	31,000	4,875			
604681-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	91,000	16,582			
63207-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	111,000	12,791			
64438-10-0	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	12,791	1,946			
67003-10-1	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	50,000	1,946			
68595-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	59,000	18,798			
68372-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	294,000	47,773			
69220-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	51,000	1,046			
707590-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	653,000	19,713			
71801A-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	1,183,000	3,396			
72919-10-7	AMEREN ENERGY INC.		06/25/2021	MERRILL LYNCH INTERNAT. LTD.	53,000	9,389			
72919-10-8	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	35,000	1,580			
72919-10-9	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	35,000	858			
69351T-10-6	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	410,000	13,036			
75670-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	71,000	2,009			
74404-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	16,000	2,114			
53345-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	8,000	5,525			
80719-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	2,002	2,002			
80719-10-6	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	302,000	7,362			
80719-10-7	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	525,000	6,794			
80719-10-8	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	10,000	5,515			
80719-10-9	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	3,000	4,728			
88118-10-2	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	25,000	2,018			
88118-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	16,000	597			
88118-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	16,000	4,631			
90033-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	566,000	15,575			
912308-10-3	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	35,000	3,191			
92039-10-4	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	113,000	5,325			
92039-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	128,857	5,703			
92039-10-6	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	18,000	498			
96098-10-5	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	43,000	13,032			
96098-10-6	AMEREN ENERGY INC.		06/25/2021	AMEREN ENERGY INC.	27,000	2,025			
90999999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					1,620,868	XXX	0	XXX

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
97999997	Total - Common Stocks - Part 3					1,620,868	XXX	0	XXX
97999998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
97999998	Total - Common Stocks					1,620,868	XXX	0	XXX
98999998	Total - Preferred and Common Stocks					1,620,868	XXX	0	XXX
99999999	Totals					10,233,825	XXX	26,644	XXX

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
..35107-20-4	FOX CORP CL B CL B		04/09/2021	HERRILL LYNCH	205,000	7,203		6,078	0	0	0	0	0	0	6,078	0	0	1,225	47		
..36304-10-3	GENERAL ELECTRIC CO		06/25/2021	INTERNATIONAL LI	1,218,000	6,183		3,820	0	(1,967,200)	0	0	0	0	3,820	0	2,611,696	2,611,696	3,128	XXX	
..36512-10-3	GENERAL ELECTRIC CO		06/25/2021	GOLDMAN, SACHS AND CO.	1,218,000	6,183		3,820	0	(1,967,200)	0	0	0	0	3,820	0	2,611,696	2,611,696	3,128	XXX	
..44918-10-4	GENERAL ELECTRIC CO		06/25/2021	GOLDMAN, SACHS AND CO.	200,000	7,560		6,343	0	0	0	0	0	0	6,343	0	1,112	99			
..45918-10-3	GENERAL ELECTRIC CO		05/25/2021	GOLDMAN, SACHS AND CO.	200,000	7,560		6,343	0	0	0	0	0	0	6,343	0	1,112	99			
..45918-10-4	GENERAL ELECTRIC CO		05/25/2021	GOLDMAN, SACHS AND CO.	200,000	4,989		4,589	0	0	0	0	0	0	4,589	0	0	0			
..45725-10-3	HERRILL LYNCH		04/21/2021	HERRILL LYNCH	7,000	1,200		1,180	0	0	0	0	0	0	1,180	0	0	0			
..46131-10-3	JABIL INC COM (SDO,001)		06/25/2021	GOLDMAN, SACHS AND CO.	35,000	2,011		1,574	0	0	0	0	0	0	1,574	0	437	5			
..46049-10-4	NIGHT-SHIFT TRANS HDGS INC CL A		06/25/2021	GOLDMAN, SACHS AND CO.	60,000	2,735		2,738	0	0	0	0	0	0	2,738	0	18	18			
..42704-10-9	LESLIES INC COM		06/25/2021	GOLDMAN, SACHS AND CO.	16,000	42		392	0	0	0	0	0	0	392	0	50	50			
..33037-10-7	LIBERTY BROKING CORP COM SER A C		04/09/2021	INTERNATIONAL LI	35,000	5,013		5,169	0	0	0	0	0	0	5,169	0	(199)				
..35001-10-7	LIBERTY BROKING CORP COM SER A C		06/25/2021	GOLDMAN, SACHS AND CO.	198,000	7,041		7,036	0	0	0	0	0	0	7,036	0	3	3			
..37376-10-3	MERRILL LYNCH		06/25/2021	GOLDMAN, SACHS AND CO.	198,000	7,041		7,036	0	0	0	0	0	0	7,036	0	3	3			
..42054-10-3	NAVY INC COM STK		06/25/2021	GOLDMAN, SACHS AND CO.	345,000	5,803		5,690	0	0	0	0	0	0	5,690	0	353	353			
..60284-10-3	NEW YORK LIFE GROUP INC COM		06/25/2021	GOLDMAN, SACHS AND CO.	54,000	2,905		2,905	0	0	0	0	0	0	2,905	0	413	261			
..72704-10-1	PLANET FITNESS INC CL A CL A		06/25/2021	GOLDMAN, SACHS AND CO.	26,000	2,031		2,158	0	0	0	0	0	0	2,158	0	(127)	149			
..73746-10-4	POST HDGS INC COM STK		06/25/2021	GOLDMAN, SACHS AND CO.	15,000	1,647		1,497	0	0	0	0	0	0	1,497	0	146	146			
..78117-10-3	SET INVTX CO COM		06/25/2021	GOLDMAN, SACHS AND CO.	7,000	438		430	0	0	0	0	0	0	430	0	7	7			
..80704-10-3	TEPHONIA CORP DEL COM STK		06/25/2021	GOLDMAN, SACHS AND CO.	45,000	2,203		1,670	0	0	0	0	0	0	1,670	0	332	332			
..84464-10-2	TRAVEL + LEISURE CO COM		06/25/2021	GOLDMAN, SACHS AND CO.	35,000	1,980		1,545	0	0	0	0	0	0	1,545	0	446	446			
..30338-10-3	TRAVEL + LEISURE CO COM		06/25/2021	GOLDMAN, SACHS AND CO.	35,000	4,074		3,763	0	0	0	0	0	0	3,763	0	272	272			
..31638-10-7	UPSTART HDGS INC COM		06/25/2021	GOLDMAN, SACHS AND CO.	37,000	4,322		4,304	0	0	0	0	0	0	4,304	0	217	217			
..98314-10-5	WYNDHAM HOTELS & RESORTS, INC COM		04/09/2021	INTERNATIONAL LI	68,000	4,983		4,141	0	0	0	0	0	0	4,141	0	843	11			
9095999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded				68,000	6,494,751	XXX	3,882,796	5,552,833	(1,967,200)	0	0	(1,967,200)	0	3,882,796	0	2,611,696	2,611,696	3,128	XXX	
9799997	Total - Common Stocks - Part 4				68,000	6,494,751	XXX	3,882,796	5,552,833	(1,967,200)	0	0	(1,967,200)	0	3,882,796	0	2,611,696	2,611,696	3,128	XXX	
9799998	Total - Common Stocks				68,000	6,494,751	XXX	3,882,796	5,552,833	(1,967,200)	0	0	(1,967,200)	0	3,882,796	0	2,611,696	2,611,696	3,128	XXX	
9999999	Total - Preferred and Common Stocks				68,000	6,494,751	XXX	3,882,796	5,552,833	(1,967,200)	0	0	(1,967,200)	0	3,882,796	0	2,611,696	2,611,696	3,128	XXX	
9999999	Totals				68,000	12,494,465	XXX	9,770,674	10,023,014	(1,967,200)	(3,965)	0	(2,001,275)	0	9,769,692	0	2,694,769	2,694,769	107,352	XXX	

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open

NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

NONE

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

NONE

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
JP Morgan Chase Bank, N.A. - Dean TPA Trust Madison, WI0.000	0		980,286	1,126,148	1,173,790	XXX
JP Morgan Chase Bank, N.A. - Money Market Demand Madison, WI0.010	2,185		93,882,391	93,883,177	43,883,816	XXX
JP Morgan Chase Bank, N.A. - Operating Madison, WI0.020	4,031		112,563,596	103,944,959	55,533,223	XXX
JP Morgan Chase Bank, N.A. - Operating Export Madison, WI0.000	0		8,592,102	9,472,165	8,364,080	XXX
JP Morgan Chase Bank, N.A. - Level Funded Admin Madison, WI0.000	0			3,499	10,314	XXX
JP Morgan Chase Bank, N.A. - Level Funded Claims Madison, WI0.000	0			1,704	19,711	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	6,216	0	216,018,375	208,431,652	108,984,934	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	6,216	0	216,018,375	208,431,652	108,984,934	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	200	200	200	XXX
0599999. Total - Cash	XXX	XXX	6,216	0	216,018,575	208,431,852	108,985,134	XXX

STATEMENT AS OF JUNE 30, 2021 OF THE Dean Health Plan, Inc.
SCHEDULE E - PART 2 - CASH EQUIVALENTS
 Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
05999999	Total - U.S. Government Bonds					0	0	0
10999999	Total - All Other Government Bonds					0	0	0
17999999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
24999999	Total - U.S. Political Subdivisions Bonds					0	0	0
31999999	Total - U.S. Special Revenues Bonds					0	0	0
38999999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					0	0	0
48999999	Total - Hybrid Securities					0	0	0
55999999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
60999999	Subtotal - SVO Identified Funds					0	0	0
65999999	Subtotal - Unaffiliated Bank Loans					0	0	0
75999999	Total - Issuer Obligations					0	0	0
77999999	Total - Residential Mortgage-Backed Securities					0	0	0
78999999	Total - Commercial Mortgage-Backed Securities					0	0	0
79999999	Total - Other Loan-Backed and Structured Securities					0	0	0
80999999	Total - SVO Identified Funds					0	0	0
81999999	Total - Affiliated Bank Loans					0	0	0
82999999	Total - Unaffiliated Bank Loans					0	0	0
83999999	Total Bonds					0	0	0
85999999	Subtotal - Exempt Money Market Mutual Funds - as identified by the SVO		06/30/2021	0.010		5,389,780	34	1,440
						5,389,780	34	1,440
99999999	Total Cash Equivalents					5,389,780	34	1,440