

Administrative Actions Taken by OCI

In many of the proceedings listed below, the Respondent denied the allegations but consented to the actions. Detailed information regarding the proceedings is available from the OCI Legal Unit. Copies of administrative actions started in the year 1964 can be viewed and printed from OCI's Web site at <http://oci.wi.gov/admact/admact.htm>. The following are actions for October 2011 through March 2012.

Actions against agents:

Jose Alaniz

520 N. Cantu St., Weslaco, TX 78596
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of resident surplus lines licensing. October 2011

Colby Albert

3425A N. Bremen St.,
Milwaukee, WI 53212
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application. February 2012

James A. Allen

1025 Green Bay Rd.,
Glencoe, IL 60022
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license. January 2012

Cheryl A. Anderson

3411 W. Princeton Ave.,
Spokane, WA 99205
Had her application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose an administrative action taken by the state of Utah on a licensing application. January 2012

Perry A. Andropolis

826 Pennsylvania St.,
Sturgeon Bay, WI 54235
Had his insurance license revoked. This action was taken based on

allegations of failing to pay Wisconsin delinquent taxes due. October 2011

John Anthony Antolik

701 Cross Timbers Dr.,
Chesterfield, MO 63017
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license. January 2012

Mark Axelowitz

220 East 72nd St. Apt. 22B,
New York, NY 10021
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license. January 2012

Gene Badal

788 Tree Top Ln.,
Crystal Lake, IL 60014
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application. February 2012

Carl Baker

P.O. Box 85638, San Diego, CA 92186
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose an administrative action taken by the state of California on a licensing application. November 2011

Jurline A. Baker

2742 N. 76th St. Apt. A,
Milwaukee, WI 53222
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. March 2012

Timothy Charles Bartelt

1502 County Rd. V,
Sheboygan, WI 53081
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose an administrative action taken by the state of Ohio on a licensing application. January 2012

Joshua Beck

4933 N. 126th St., Butler, WI 53007
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application. March 2012

Diana A. Becker

117 Llanos St., Verona, WI 53593
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Anne Elizabeth Berg

113 S. Page St. Apt. B,
Stoughton, WI 53589
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Gregg P. Bernhold

1453 Springmill Ponds Blvd.,
Carmel, IN 46032
Had his application for an insurance license denied. This action was taken based on allegations of failing

to respond promptly to inquiries from OCI and for failing to provide evidence of a resident surplus lines license. February 2012

Bruce Alan Bershad
10109 Bell Creek Dr.,
Riverview, FL 33569
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI, failing to disclose a criminal conviction on an insurance license application, having a criminal conviction which may be substantially related to insurance marketing type conduct, failing to disclose an administrative action taken by the state of Georgia on a licensing application, and failing to report an administrative action taken by the state of Virginia within 30 days of the action.
October 2011

Tiffany Monique Beverly-Malott
1306 E. Wabash Ave.,
Waukesha, WI 53186
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Darin Blomquist
528 Walnut St.,
Beaver Dam, WI 53916
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application.
December 2011

Samuel Boettcher
130 Maple Ave. S. Apt. 2,
Slinger, WI 53086
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having a criminal conviction which may be substantially related to insurance marketing type conduct.
January 2012

Michael Bolly
809 Burr Oak Ln. Apt. 3,
Madison, WI 53713
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose a criminal conviction on a licensing application.
January 2012

Richard Christian Bowen
17914 Avalon Point Ct.,
Cypress, TX 77429
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of nonresident state and surplus lines licensing. January 2012

Dennis Matthew Breier
4101 Glendenning Rd.,
Downers Grove, IL 60515
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license.
January 2012

Dwayne Brown
2506 Wrenn Ct., Wingate, NC 28174
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and other evidence of untrustworthiness or incompetence.
March 2012

Richard Harold Bublitz
2106 Park Crescent Dr.,
Land O' Lakes, FL 34639
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having an unpaid tax obligation in the state of Michigan.
January 2012

Diane M. Byas
430 Summit Ave.,
Sun Prairie, WI 53590
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to complete fingerprinting requirements. February 2012

Brian Cain
28 State St., Beloit, WI 53511
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose an administrative action taken by the state of Wisconsin on a licensing application.
January 2012

Brian M. Cain
2495 N. Bootmaker Dr.,
Beloit, WI 53511
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application.
October 2011

April Caldwell
10260 Colonial Ct. S.,
Jacksonville, FL 32225
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license.
January 2012

Phillip T. Cartwright
W6024 Pearl Dr., Appleton, WI 54915
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Jennifer Castro
18 Meadowlark Dr.,
Hudson, WI 54016
Had her application for an insurance license denied. This action was taken

based on allegations of failing to respond promptly to inquiries from OCI and failing to complete licensure requirements. December 2011

Jennifer Chartier
4717 N. Brookridge Ln. Apt. B,
Appleton, WI 54913
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having a criminal conviction which may be substantially related to insurance marketing type conduct. January 2012

Ross A. Clendening
6350 River Bend Rd.,
South Wayne, WI 53587
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. March 2012

Elmer L. Coleman Jr.
7761 N. Delta Pl.,
Milwaukee, WI 53223
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Henry E. Cornett
10355 W. Plum Tree Cir. Apt. 204,
Hales Corners, WI 53130
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Thomas Costantiello
4976 Gettysburg Rd.,
Columbus, OH 43220
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license. March 2012

Michael Culver
S54W31500 State Rd. 59,
North Prairie, WI 53153
Had his application for an insurance license denied. This action was taken based on allegations of a criminal conviction which may be substantially related to insurance marketing type conduct and failing to respond promptly to inquiries from OCI. December 2011

Todd D. Cushman
7018 Donna Dr., Middleton, WI 53562
Was ordered to pay a forfeiture of \$1,000.00 and to provide copies of certificates of completion for continuing education requirements following course completion. These actions were taken based on allegations of failing to meet continuing education requirements and misrepresenting that he had completed the requirements. February 2012

Michael Deininger
8539 33rd Ave., Kenosha, WI 53142
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application. January 2012

Todd H. Dock
846 Crestview Dr.,
West Bend, WI 53095
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Gary Earl Duncan
P.O. Box 85638, San Diego, CA 92186
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having an unpaid tax obligation in the state of California. January 2012

Robert Dyke
579 Country Club Rd.,
McKinney, TX 75069
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license. February 2012

Louis Thomas Edgeron
12097 Heacock St. Apt. B,
Moreno Valley, CA 92557
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose an administrative action taken by the state of California on a licensing application. December 2011

Dawn M. Elverud
919 Mill St., Sparta, WI 54656
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Martin L. Ewert
7951 W. Highland Rd.,
Ojibwa, WI 54862
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Melissa A. Faust
2372 S. Williams St.,
Milwaukee, WI 53207
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to apply for licensure within 30 days of examination. December 2011

Harold R. Federman
17430 Sierra Ln.,
Brookfield, WI 53045
Agreed to the permanent revocation of his insurance license. This action

was taken based on allegations of submitting a change of beneficiary form, or causing that form to be submitted, to the insurer knowing it contained false information. December 2011

George Fitzharris
6104 W. Lincoln Ave.,
Milwaukee, WI 53219
Agreed to the suspension of his insurance license for 21 days, agreed to pay a forfeiture of \$1,000.00, and agreed to immediately remit all applications and premiums received. These actions were taken based on allegations of failing to timely submit premiums, misrepresenting that premiums would be forwarded to the insurer, and violating previous OCI orders. October 2011

Bradley Frane
3600 American Blvd W. Ste. 500,
Minneapolis, MN 55431
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI. March 2012

Randall L. Gibbs
N4771 Brentwood Ct.,
Montello, WI 53949
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Bernabe Gonzalez
1820 Butler Dr., Waukesha, WI 53186
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Isaac E. Gonzalez
8625 Centaur Dr., Belvidere, IL 61008
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction which may be substantially related to insurance marketing type conduct. December 2011

Victoria A. Graf
1730 S. 63rd St,
Milwaukee, WI 53214
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Cheryl Grandaw
12784 State Rd. 32 64,
Mountain, WI 54149
Agreed to have her insurance activities supervised by a licensed agent for a period of two years. This action was taken based on allegations of having a criminal conviction which may be substantially related to insurance marketing type conduct. January 2012

David W. Grant
1227 Commonwealth Dr. Apt. 12,
Fort Atkinson, WI 53538
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Keely Grimes
2001 55th St., Des Moines, IA 50310
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license. January 2012

Joseph Grovogel
1542 Pit Rd., Brussels, WI 54204
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application. March 2012

Shelly L. Hagedorn
5587 Apple Ct., Greendale, WI 53129
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction which may be substantially related to insurance marketing type conduct. December 2011

Stephanie Ann Hanson
W2441 Fox Coulee Rd.,
Nelson, WI 54756
Had her application for an insurance license denied. This action was taken based on allegations of a criminal conviction which may be substantially related to insurance marketing type conduct. February 2012

Jason M. Heidemann
10317 S. Keeler Ave.,
Oak Lawn, IL 60453
Had his application for a Wisconsin resident insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and for providing a resident address outside the state of Wisconsin on a licensing application. January 2012

Diane M. Heilgeist
876 Hunters Ridge Dr.,
Genoa City, WI 53128
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Heather Hernandez-George
537 Forest St., Hartford, WI 53027
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI, having a criminal conviction which may be substantially related to insurance marketing type conduct, and failing to complete the state examination required for licensure. January 2012

Carmen M. Herrera
1982C Indiana St.,
Houston, TX 77019
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Garrett Hetzel
3300 Business Park Dr.,
Stevens Point, WI 54482
Had his application for an insurance license denied. This action was

taken based on allegations of criminal convictions which may be substantially related to insurance marketing type conduct. March 2012

Jay Hoffland
27 Halite Way, Fitchburg, WI 53711
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application. December 2011

David Hooper
5 Towerbridge Pl.,
Saint Charles, MO 63303
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license. March 2012

Charmaine Hughes
5699 Centerpark Way, Apt. 636,
Glendale, WI 53217
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and not being eligible to obtain a variable life/variable annuity license. March 2012

David Hughes
2441 Chris NA Mar Rd.,
Dodgeville, WI 53533
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI, a criminal conviction which may be substantially related to insurance marketing type conduct, and failing to apply for licensure within 30 days of passing a licensing examination. December 2011

Daniel B. Iverson
677 Riford Rd., Glen Ellyn, IL 60137
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI. November 2011

Clara Jackson
4601 Corporate Dr. Unit 115,
Concord, NC 28027
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction which may be substantially related to insurance marketing type conduct. March 2012

Kelly J. Jackson
5414 N. Santa Monica Blvd.,
Milwaukee, WI 53217
Had her insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Adriana Jaime
12238 Silicon Dr. Ste. 150,
San Antonio, TX 78249
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and pending criminal charges which may be substantially related to insurance marketing type conduct. March 2012

Peter S. Johnson II
1588 Ellis St., Green Bay, WI 54302
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to complete examination requirements for licensure. October 2011

Van E. Johnson, Jr.
7900 W. Denver Ave.,
Milwaukee, WI 53223
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Deborah Jonges
PO Box 31994,
West Palm Beach, FL 33420
Had her application for an insurance license denied. This action was taken based on allegations of failing to

respond promptly to inquiries from OCI and not being eligible to obtain a variable life/variable annuity license. March 2012

Kenneth E. Kangas
6331 Pheasant Ln. Apt. F123,
Middleton, WI 53562
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Dava Kemp
4601 Corporate Dr. Unit 115,
Concord, NC 28027
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction which may be substantially related to insurance marketing type conduct. March 2012

James H. Kenner
1907 Claremoor Dr.,
Louisville, KY 40223
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having been involved in a bankruptcy proceeding. January 2012

Christopher Kiehl
1108 Pilgrim Way, Apt. D,
Green Bay, WI 54304
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose a criminal conviction on a licensing application. December 2011

Leya Kirchstein
506 E. Bluff, Madison, WI 53704
Had her application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose a criminal conviction on a licensing application. October 2011

Leya Kirchstein
506 E. Bluff, Madison, WI 53704
Had her application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose an administrative action taken by the state of Wisconsin on a licensing application. December 2011

Paul M. Kolodziej
1126 W. Hwy. DB,
Mosinee, WI 54455
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Lori F. Kraus
246 Inverrary Ln., Deerfield, IL 60015
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of resident surplus lines licensing. October 2011

Timothy Krogman
3019 Rudolph Rd,
Eau Claire, WI 54701
Had his application for an insurance license denied. This action was taken based on allegations of criminal convictions which may be substantially related to insurance marketing type conduct. February 2012

Charles Krombach
2523 S 93rd St.,
Milwaukee, WI 53227
Had his application for an insurance license denied. This action was taken based on allegations of having multiple lawsuits with unpaid judgments and an administrative action taken by the Wisconsin Supreme Court. December 2011

James Herbert Krueger II
N1328 Ellen Ln.,
Greenville, WI 54942
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. March 2012

Deborah Labarr
7416 Bristol Village Curve,
Minneapolis, MN 55438
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide proof of eligibility for a Wisconsin resident license. February 2012

Christopher A. Lamb
3603 Cardinal Ave., Eau Claire, WI 54703
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Neil R. Landvatter
420 S. Concord Ave., Watertown, WI 53094
Had his insurance license revoked. This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Tonya Lanza
219 E. Maple St. Ste. 3000, North Canton, OH 44720
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having a criminal conviction which may be substantially related to insurance marketing type conduct. January 2012

Bruce A. Levine
2917 Jerrie Ln., Glenview, IL 60025
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of a resident surplus lines license. December 2011

Howard E. Linderman
2048 N. Rand Rd. Apt. 104,
Palatine, IL 60074
Had his application for an insurance license denied. This action was taken

based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of resident surplus lines licensing. October 2011

Shalonda Little
3600 Ecommerce Pl.,
Orlando, FL 32808
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having a criminal conviction which may be substantially related to insurance marketing type conduct. January 2012

Lamar Long
386 Berckman Dr. NW,
Lilburn, GA 30047
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose an administrative action taken by the state of Wisconsin. March 2012

John C. Love
11255 Hampton Ridge,
Chardon, OH 44024
Agreed to pay a forfeiture of \$750.00, agreed to not charge fees to write policies, agreed to reimburse fees charged to certain policyholders, and agreed to provide documentation to OCI of fee reimbursement. These actions were taken based on allegations of failing to respond promptly to inquiries from OCI and failing to properly disclose fees charged to his customers. February 2012

Noah J. Luckiesh
2432 W. Park Ave. Apt. 9,
West Bend, WI 53090
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction which may be substantially related to insurance marketing type conduct. December 2011

David L. Lynk
726 W. 9th Ave., Oshkosh, WI 54902
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. December 2011

Shana M. Mahlik
PO Box 218, Valders, WI 54245
Had her application for an insurance
license denied for 31 days. This
action was taken based on allegations
of failing to disclose a criminal
conviction on a licensing application.
March 2012

Matthew Andrea Malone
3600 Bob O Link Ave.,
Wausau, WI 54401
Had his application for an insurance
license denied for 31 days. This
action was taken based on allegations
of failing to disclose administrative
actions taken by the states of
Wisconsin, Pennsylvania, and Ohio on
a licensing application. February 2012

Katherine L. Marko
7781 Elmwood Ave. Apt. 108,
Middleton, WI 53562
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Alexis McCain
5152 N. 70th St.,
Milwaukee, WI 53218
Had his application for an insurance
license denied for 31 days. This
action was taken based on allegations
of failing to disclose a criminal
conviction on a licensing application.
October 2011

Tiaie D. McCormick
3600 Ecommerce Pl.,
Orlando, FL 32808
Had her application for an insurance
license denied. This action was based
on allegations of failing to respond
promptly to inquiries from OCI
and having a criminal conviction
which may be substantially related
to insurance marketing type conduct.
January 2012

Michael D. McFadden
902 Shenandoan Ln.,
West Chester, PA 19380
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Kimberly W. McKinnon
640 Bondow Dr., Neenah, WI 54956
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Nicholas M. McLean
N86W15667 Shorecrest Dr.,
Menomonee Falls, WI 53051
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and not applying for licensure
within 30 days of examination.
December 2011

Richard Brent Medill
25450 Beckham Rd.,
Harlingen, TX 78552
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and failing to provide evidence
of a resident surplus lines license.
January 2012

Gzim Mehmeti
5145 Lunt Ave., Skokie, IL 60077
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and failing to provide proof
of a resident surplus lines license.
February 2012

Carlos Mendoza
463 Peacock Way,
Vacaville, CA 95688
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
disclose an administrative action
taken by the state of California on

a licensing application, having a
criminal conviction which may be
substantially related to insurance
marketing type conduct, and failing
to respond promptly to inquiries from
OCI. February 2012

Daniel Mikul
Garden Oaks Apt. 308, 9975 Butternut
St., Coon Rapids, MN 55433
Had his application for an insurance
license denied. This action was
taken based on allegations of failing
to respond promptly to inquiries
from OCI, providing a nonresident
address while applying for a resident
intermediary license, and taking
an examination more than 30 days
prior to applying for licensure.
December 2011

Marysue Miles
3582 Gondola Dr., Antioch, TN 37013
Had her application for an insurance
license denied for 31 days. This action
was taken based on allegations of
failing to disclose an administrative
action taken by the state of Georgia on
a licensing application. October 2011

Charissa Miller
320 Martin Ave. W.,
Turtle Lake, WI 54889
Had her application for an insurance
license denied. This action was
taken based on allegations of failing
to respond promptly to inquiries
from OCI and a criminal conviction
which may be substantially related
to insurance marketing type conduct.
December 2011

Martha Miller
680 N. Lyle Ave., Elgin, IL 60123
Had her application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and failing to provide proof of
resident state licensing. February 2012

Matthew Jason Miller
1909 Banks Ave., Superior, WI 54880
Had his insurance license revoked.
This action was taken based on

allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Virginia J. Montzingo
321 William St., Verona, WI 53593
Had her insurance license revoked.
This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Jamie Moore
4601 Corporate Dr. NW Ste. 115,
Concord, NC 28027
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose an administrative action taken by the state of Wisconsin on a licensing application. January 2012

Jorge Moreno
4607 S. 2nd St., Louisville, KY 40214
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having a criminal conviction which may be substantially related to insurance marketing type conduct. January 2012

Steven Roy Morlandt
11703 Huebner Rd. Ste. 106,
San Antonio, TX 78230
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI, failing to provide verification of birthdate, and a criminal conviction which may be substantially related to insurance marketing type conduct. December 2011

David M. Mullen
5790 Widewaters Pkwy.,
P.O. Box 157, Dewitt, NY 13214
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and a history of administrative actions taken by FINRA. December 2011

James P. Nespor
660 Flora Sq., Prescott, WI 54021
Had his insurance license revoked.
This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Thomas A. Nix
11431 Coreopsis Rd.,
Charlotte, NC 28213
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having a criminal conviction which may be substantially related to insurance marketing type conduct. January 2012

Leonard R. Noll
W314 S4151 Hwy. 83,
Waukesha, WI 53189
Had his insurance license revoked.
This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Heather Parks
4601 Corporate Dr. Ste. 115,
Concord, NC 28027
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and having a criminal conviction which may be substantially related to insurance marketing type conduct. January 2012

Gregory Parsons
Ameriprise Financial, 753 Ameriprise
Financial Center,
Minneapolis, MN 55474
Had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to disclose an administrative action taken by the state of Minnesota on a licensing application. February 2012

Daniel John Paulsrud
511 S. Moreland Blvd.,
Waukesha, WI 53188
Had his application for an insurance license denied. This action was taken

based on allegations of failing to respond promptly to inquiries from OCI. October 2011

Jean Pazerunas
425 S. Cedar St., Palatine, IL 60067
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to provide evidence of licensing in a resident state. January 2012

Benjamin Pfiffner
5815 Old Coach Rd.,
Wausau, WI 54401
Had his application for an insurance license denied. This action was taken based on allegations of failing to disclose an administrative action taken by the state of Wisconsin on a licensing application, having an insurance license revocation considered evidence of untrustworthiness or incompetence, and failing to wait the required five years to reapply after a license revocation. February 2012

Mary Shan Pillock
6831 N.W. 6th Ct., Margate, FL 33063
Had her application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI. October 2011

Penny Jean Piskula
3342 N. 11th St., Wausau, WI 54403
Had her insurance license revoked.
This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. December 2011

Andrew Pronold
1943 N. Summit Ave. Apt. 33,
Milwaukee, WI 53202
Had his application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to complete prelicensing education prior to a licensing examination. February 2012

Kevin R. Rather
PO Box 869, Oconomowoc, WI 53066
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

George Sawchuk
1109 N. Mayfair Rd. Ste. 101,
Milwaukee, WI 53226
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
disclose administrative actions on
a licensing application, failing to
complete prelicensing education,
and failing to respond promptly to
inquiries from OCI. December 2011

Joseph J. Schaefer
148 Shelly Dr.,
Sheboygan Falls, WI 53085
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Denise Marie Senn
6066 Whalen Rd.,
Fitchburg, WI 53593
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Jesse Alexander Short
1026 S. Erie St., De Pere, WI 54115
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. December 2011

Cory A. Smith
5604 Lake Edge Rd. Apt. 4,
McFarland, WI 53558
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Kelly Smith
2501 Wilmington Rd.,
New Castle, PA 16105
Had her application for an insurance
license denied. This action was taken
based on allegations of failing to

respond promptly to inquiries from
OCI and failing to provide proof
of licensing in her resident state.
December 2011

Michael L. Sonnenberg
225 N. Main St., Westfield, WI 53964
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
disclose a criminal conviction on
a licensing application, having a
criminal conviction which may be
substantially related to insurance
marketing type activities, and failing
to respond to requests for information
from OCI. October 2011

John S. Spratley
3505 Belknap St., Lot 60,
Superior, WI 54880
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
report a criminal conviction on a
licensing application and failing to
respond promptly to inquiries from
OCI. October 2011

Christine M. Squires
4345 Milford Rd., Madison, WI 53711
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Ryan J. Stephanie
429 Caroline St., Plymouth, WI 53073
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. December 2011

Chris Stephenson
1725 Western Ave., Apt. 5,
Green Bay, WI 54303
Had his application for an insurance
license denied. This action was
taken based on allegations of failing
to disclose a criminal conviction
on a licensing application and
having a criminal conviction which
may be substantially related to
insurance marketing type conduct.
February 2012

Dana M. Stetz
10200 65th Ave., Kenosha, WI 53142
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. December 2011

Melvin Henry Stone Jr.
9826 Hedgebell Dr.,
McKinney, TX 75070
Had his application for an insurance
license denied. This action was
taken based on allegations of failing
to respond promptly to inquiries
from OCI and failing to disclose an
administrative action taken by the state
of Indiana on a licensing application.
January 2012

Michael Anthony Tedesco
P.O. Box 85638, San Diego, CA 92816
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and having a criminal conviction
which may be substantially related
to insurance marketing type conduct.
January 2012

Naly Thao
1718 Bopf St., Wausau, WI 54401
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

Ryan Thayer
11300 Expo Blvd. Apt. 101,
San Antonio, TX 78230
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and having a criminal conviction
which may be substantially related
to insurance marketing type conduct.
February 2012

Brian Thompson
389 3rd St., Fond du Lac, WI 54935
Had his application for an insurance
license denied for 31 days. This
action was taken based on allegations
of failing to disclose a criminal

conviction on a licensing application.
December 2011

Dorothy Elizabeth Tompkins
W191S7865 Overlook Bay Rd.,
Muskego, WI 53150
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

Mark J. Vana
2257 W. Belmont Ave.,
Chicago, IL 60618
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and failing to provide evidence
of licensing in a resident state.
January 2012

Christopher J. Vanasse
1631 Southridge Rd.,
River Falls, WI 54022
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

Patti J. Van Valkenburg
N2241 Country Ln.,
Waupaca, WI 54981
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

James W. Wahout
1412 Best Dr.,
Arlington Heights, IL 60004
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and failing to provide evidence
of licensing in a resident state.
January 2012

Aaron Michael Wauters
2169 Kyla Ct., Montgomery, IL 60538
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from

OCI and failing to provide evidence
of licensing in a resident state.
January 2012

Tony D. Weber
300 Salem Church Rd.,
Sunfish Lake, MN 55118
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

Gabrielle S. Weekly
5686 N. 60th St.,
Milwaukee, WI 53218
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Robert William Werner
7090 Timbershore Ln.,
Three Lakes, WI 54562
Agreed to cease and desist refusing
to service applicants to the Wisconsin
Insurance Plan. This action was taken
based on allegations of refusing to
service an applicant to the Wisconsin
Insurance Plan. March 2012

Michael West
757 Faye Dr., West Salem, WI 54669
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

David Paul White
514 S. Marquette Rd.,
Prairie du Chien, WI 53821
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

David Alan Widener
8307 Clinton Ave. S.,
Bloomington, MN 55420
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI and failing to provide evidence
of a resident surplus lines license.
January 2012

Marquis Wilburn
7137 W. Florist Ave.,
Milwaukee, WI 53218
Had his application for an insurance
license denied. This action was
taken based on allegations of having
criminal convictions and municipal
violations which may be substantially
related to insurance marketing type
conduct and failing to disclose an
administrative action taken by the
state of Wisconsin on a licensing
application. January 2012

David Paul Wilson, Jr.
Morgan Stanley Smith Barney, 2
Town Pl., Bryn Mawr, PA 19010
Had his application for an insurance
license denied. This action was taken
based on allegations of failing to
respond promptly to inquiries from
OCI. November 2011

Veronica L. Wilson
2124 W. Colfax Pl.,
Milwaukee, WI 53209
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

Yolanda D. Woods
3531 N. 2nd St.,
Milwaukee, WI 53212
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Briana M. Zellmer
1069 250th Ave., Luck, WI 54853
Had her insurance license revoked
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. December 2011

Mark J. Zera
3407 S. 15th Pl.,
Milwaukee, WI 53215
Had his insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Fan Zhang
240 N. Elm Grove Rd.,
Brookfield, WI 53005
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Stacy Noel Zielinski
3781 S. Howell Ave.,
Milwaukee, WI 53207
Had her insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. October 2011

Actions against companies:

Allied Insurance Brokers, Inc.
2205 Warwick Way Ste. 320,
Marriottsville, MD 21104
Had its insurance license revoked.
This action was taken based on
allegations of failing to pay Wisconsin
delinquent taxes due. March 2012

American Physicians Assurance Corp.
1301 N. Hagadorn Rd.,
East Lansing, MI 48823
Was ordered to pay a forfeiture
of \$500.00, was ordered to reply
promptly in writing to OCI, and was
ordered to pay an owing appointment
fee. These actions were taken based
on allegations of failing to respond
promptly to inquiries from OCI and
failing to pay an annual appointment
fee. November 2011

Auto Repair Warranty Inc.
21300 Lorain Rd. Ste. 2,
Fairview Park, OH 44128
Was ordered to pay a forfeiture of
\$6,000.00, was ordered to cease
and desist acting as a warranty plan
administrator/warrantor unless and
until it has obtained authority to
do so, and was ordered to provide
requested information to OCI within
ten days. These actions were taken
based on allegations of failing to
respond promptly to inquiries from
OCI and conducting an insurance
business without proper authority.
October 2011

Auto-Owners Insurance Company
6101 Anacatri Blvd.,
Lansing, MI 48917
Was ordered to pay a forfeiture of
\$2,000.00 and was ordered to cease
and desist from distributing dividends
from surplus prior to filing the board
of directors' dividend declaration
with OCI. These actions were taken
based on allegations of failing to
file a dividend declaration prior to
distribution. November 2011

Automobile Consumer Services Corp.
2007 Poole Dr. NW Ste. D,
Huntsville, AL 35810
Was ordered to pay a forfeiture of
\$9,000.00 and was ordered to cease
and desist acting as a warranty plan
administrator or obligor until it has
submitted and been approved for
a limited certificate of authority
for a warranty plan. These actions
were taken based on allegations of
conducting an insurance business
without proper authority and
using unapproved policy forms.
February 2012

Bankers Reserve Life Insurance
Company of Wisconsin
7700 Forsyth Blvd.,
Saint Louis, MO 63105
Was ordered to pay a forfeiture of
\$1,000.00. This action was taken
based on allegations of failing to
comply with a previous examination
order related to company bylaws and
financial results monitoring by the
board of directors. January 2012

Blue Cross Blue Shield of Wisconsin
6775 W. Washington St.,
West Allis, WI 53214
Was ordered to pay a forfeiture of
\$500.00. This action was taken based
on allegations of failing to comply
with a previous examination order
related to the company's board of
directors' management of the business
and affairs of the corporation.
November 2011

Calumet Equity Mutual Insurance Co.
1828 Wisconsin Ave.,
New Holstein, WI 53061
Was ordered to pay a forfeiture of
\$500.00. This action was taken based
on allegations of failing to comply
with examination orders related
to investment rule compliance.
October 2011

Charter Oak Fire Insurance Co., The
1 Tower Sq., Hartford, CT 06183
Was ordered to pay a forfeiture of
\$2,500.00 and was ordered to cease
and desist distributing dividends
from surplus prior to filing the board
of director's dividend declaration
with OCI. These actions were taken
based on allegations of failing to
file dividend declarations prior to
distribution. October 2011

Cincinnati Indemnity Company, The
6200 S. Gilmore Rd.,
Fairfield, OH 45014
Was ordered to pay a forfeiture of
\$2,500.00 and was ordered to cease
and desist distributing dividends
from surplus prior to filing the board
of director's dividend declaration
with OCI. These actions were taken
based on allegations of failing to
file dividend declarations prior to
distribution. October 2011

Cincinnati Insurance Company, The
6200 S. Gilmore Rd.,
Fairfield, OH 45014
Was ordered to pay a forfeiture of
\$2,500.00 and was ordered to cease
and desist from distributing dividends
from surplus prior to filing the board
of director's dividend declaration
with OCI. These actions were taken
based on allegations of failing to
file dividend declarations prior to
distribution. October 2011

Citizens Insurance Co. of America
645 W. Grand River Ave.,
Howell, MI 48843
Was ordered to pay a forfeiture of
\$1,000.00 and was ordered to cease
and desist distributing dividends from
surplus prior to filing the board of

directors' dividend declaration with OCI. These actions were based on allegations of failing to file a dividend declaration prior to distribution. November 2011

Columbus Mutual Town Insurance Co.
205 S. University Ave.,
Beaver Dam, WI 53916
Was ordered to pay a forfeiture of \$1,500.00. This action was taken based on allegations of failing to comply with previous examination orders related to invested assets. October 2011

Compcare Health Services Ins. Corp.
6775 W. Washington St.,
West Allis, WI 53214
Was ordered to pay a forfeiture of \$500.00. This action was taken based on allegations of failing to comply with a previous examination order related to the company's board of directors' management of the business and affairs of the corporation. November 2011

EMASCO Insurance Company
717 Mulberry St.,
Des Moines, IA 50309
Was ordered to pay a forfeiture of \$5,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011

Employers Insurance Co. of Wausau
175 Berkeley St., Boston, MA 02116
Was ordered to pay a forfeiture of \$1,000.00. This action was taken based on allegations of failing to comply with a previous examination order related to corporate records. November 2011

Employers Mutual Casualty Company
717 Mulberry St.,
Des Moines, IA 50309
Was ordered to pay a forfeiture of \$3,500.00 and was ordered to cease and desist distributing dividends

from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011

Ethos Administrative Services, Inc.
5215 N. O'Connor Blvd. Ste. 1200,
Irving, TX 75039
Had its application for an insurance license denied. This action was taken based on allegations of attempting to use a contractual liability insurance policy issued by an unauthorized insurer as financial security for a warranty plan. March 2012

Frankenmuth Mutual Insurance Co.
One Mutual Ave.,
Frankenmuth, MI 48787
Was ordered to pay a forfeiture of \$2,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of directors' dividend declaration with OCI. These actions were taken based on allegations of failing to file a dividend declaration prior to distribution. November 2011

GBS Administrators, Inc.
545 Metro Place South Ste. 435,
Dublin, OH 43710
Was ordered to pay a forfeiture of \$1,000.00, was ordered to comply with all state of Wisconsin employee benefit plan administrator licensing requirements, and was ordered to promptly respond to all inquiries from OCI. These actions were taken based on allegations of failing to submit timely renewal licensing applications and fees and failing to respond promptly to inquiries from OCI. February 2012

Hanover Insurance Company, The
Ten Corporate Dr. Ste. 200,
Bedford, NH 03110
Was ordered to pay a forfeiture of \$1,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of directors' dividend declaration with OCI. These actions were taken

based on allegations of failing to file a dividend declaration prior to distribution. November 2011

Harleysville Insurance Company
355 Maple Ave.,
Harleysville, PA 19438
Was ordered to pay a forfeiture of \$2,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend schedules and declarations prior to distribution. October 2011

Harleysville Lake States Insurance Co.
600 E. Front St. Ste. 600, Traverse
City, MI 49686
Was ordered to pay a forfeiture of \$500.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend schedules and declarations prior to distribution. October 2011

Hastings Mutual Insurance Company
404 E. Woodlawn Ave.,
Hastings, MI 49058
Was ordered to pay a forfeiture of \$2,500.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011

Infinity Insurance Company
P.O. Box 830189,
Birmingham, AL 35283
Was ordered to pay a forfeiture of \$5,000.00 and was ordered to cease and desist sending nonrenewal notices that do not offer continuation or renewal of policies when the reason for nonrenewal is agent termination, and was also ordered to cease and desist sending nonrenewal notices that do not reflect a correct address for the Wisconsin Automobile Insurance

Plan. These actions were taken based on allegations of failing to continue policies following agent termination and failing to use the correct address for the Wisconsin Automobile Insurance Plan. October 2011

International Benefits Admin. LLC
100 Garden City Plaza Ste. 102,
Garden City, NY 11530
Was ordered to pay a forfeiture of \$1,000.00, was ordered to comply with employee benefit plan administrator licensing requirements, and was ordered to respond promptly to inquiries from OCI. These actions were taken based on allegations of failing to respond promptly to inquiries from OCI and failing to timely submit its employee benefit plan administrators renewal application. February 2012

Massachusetts Bay Insurance Co.
Ten Corporate Dr. Ste. 200,
Bedford, NH 03110
Was ordered to pay a forfeiture of \$2,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of directors' dividend declaration with OCI. These actions were taken based on allegations of failing to file a dividend declaration prior to distribution. November 2011

Nationwide Agribusiness Ins. Co.
1100 Locust St. Dept. 2007,
Des Moines, IA 50391
Was ordered to pay a forfeiture of \$2,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011

Owners Insurance Company
2325 N. Cole St., Lima, OH 45801
Was ordered to pay a forfeiture of \$2,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board

of directors' dividend declaration with OCI. These actions were taken based on allegations of failing to file a dividend declaration prior to distribution. November 2011

Phoenix Insurance Company, The
One Tower Sq., Hartford, CT 06183
Was ordered to pay a forfeiture of \$2,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011

PMI Mortgage Insurance Company
3003 Oak Rd.,
Walnut Creek, CA 94597
Was ordered to pay a forfeiture of \$500.00, was ordered to reply promptly in writing to OCI, and was ordered to pay owing appointment fees. These actions were taken based on allegations of failing to respond promptly to inquiries from OCI and failing to pay required fees for 2010-2011 appointments. October 2011

Republic-Franklin Insurance Company
2600 Corporate Exchange Dr.,
Columbus, OH 43231
Was ordered to pay a forfeiture of \$3,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011

Rural Mutual Insurance Company
1241 John Q. Hammons Dr. Ste. 200,
Madison, WI 53717
Was ordered to cease and desist distributing dividends from surplus prior to filing the board of directors' dividend declaration with OCI. This action was taken based on allegations of failing to file a dividend declaration prior to distribution. November 2011

Sandlapper Insurance Services LLC
101 N. Main St., Greenville, SC 29601
Had its application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI and failing to name a designated responsible producer on a licensing application. February 2012

Secure Investors Group, Inc.
2565 W. Maple, Troy, MI 48084
Had its application for an insurance license denied. This action was taken based on allegations of failing to respond promptly to inquiries from OCI. November 2011

Selective Ins. Co. of the Southeast
40 Wantage Ave.,
Branchville, NJ 07890
Was ordered to pay a forfeiture of \$5,000.00 and was ordered to cease and desist failing to provide proper notice when increasing premiums more than 25%. These actions were taken based on allegations of failing to give proper notice of premium increases. October 2011

SFM Mutual Insurance Company
3500 American Blvd. W. Ste. 700,
Bloomington, MN 55431
Was ordered to pay a forfeiture of \$6,000.00 and was ordered to cease and desist sending cancellation and nonrenewal notices that do not contain adequate instructions to the policyholder for applying for insurance through the Wisconsin Worker's Compensation Insurance Pool and which do not state with reasonable precision the basis for the nonrenewal. These actions were taken based on allegations of issuing improper nonrenewals of insurance policies. October 2011

T.H.E. Insurance Company
3200 Energy Centre, 1100 Poydras St.,
New Orleans LA 70163
Was ordered to pay a forfeiture of \$500.00, was ordered to reply promptly in writing to OCI, and was ordered to pay owing appointment fees. These actions were taken based

on allegations of failing to respond promptly to inquiries from OCI and failing to pay required fees to OCI for 2010-2011 appointments. October 2011

Transportation Insurance Company
333 S. Wabash Ave.,
Chicago, IL 60604

Was ordered to pay a forfeiture of \$1,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of directors' dividend declaration with OCI. These actions were taken based on allegations of failing to file a dividend declaration prior to distribution. November 2011

Travelers Ind. Co. of American, The
One Tower Sq., Hartford, CT 06183
Was ordered to pay a forfeiture of \$2,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011

Travelers Ind. Co. of Connecticut, The
One Tower Sq, Hartford, CT 06183
Was ordered to pay a forfeiture of \$2,500.00 and was ordered to cease and desist from distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011

United Wisconsin Insurance Company
15200 W. Small Rd.,
New Berlin, WI 53151

Was ordered to pay a forfeiture of \$3,000.00. This action was taken based on allegations of failing to obtain OCI approval for an amended annual statement filing. November 2011

Utica Mutual Insurance Company
180 Genessee St.,
New Hartford, NY 13413

Was ordered to pay a forfeiture of \$3,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of directors' dividend declaration with OCI. These actions were taken based on allegations of failing to file a dividend declaration prior to distribution. November 2011

Valley Forge Insurance Company
401 Penn St., Reading, PA 19601

Was ordered to pay a forfeiture of \$1,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of directors' dividend declaration with OCI. These actions were taken based on allegations of failure to file a dividend declaration prior to distribution. December 2011

Workmens Benefit Fund of the U.S.A.
399 Conklin St. Ste. 310,
Farmingdale, NY 11735

Was ordered to pay a forfeiture of \$500.00, was ordered to reply promptly in writing to requests from OCI, and was ordered to pay owing appointment fees. These actions were taken based on allegations of failing to respond promptly to inquiries from OCI and failing to pay required fees for 2010-2011 appointments. October 2011

Yunker Agency, L.L.C.

526 W. Shore Dr., Madison, WI 53715
Had its insurance license revoked.

This action was taken based on allegations of failing to pay Wisconsin delinquent taxes due. October 2011

Zurich American Insurance Company
One Liberty Plaza, 165 Broadway
32nd Fl., New York, NY 10006

Was ordered to pay a forfeiture of \$2,000.00 and was ordered to cease and desist distributing dividends from surplus prior to filing the board of director's dividend declaration with OCI. These actions were taken based on allegations of failing to file dividend declarations prior to distribution. October 2011