

Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

Reporting Instructions: Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
1. Reporting Period End Date June 30, 2020		2. Report Due Date August 31, 2020	
3. 1st Quarterly Report <input type="radio"/>	2nd Quarterly Report <input checked="" type="radio"/>	3rd Quarterly Report <input type="radio"/>	4. Federal Agency and Organization Element to Which Report is Submitted Consumer Information & Insurance Oversight (CCIIO)
5. Federal Grant Number Assigned by Federal Agency SIWIW190008	6a. DUNS Number 1559150930000	6b. EIN 396006451	
7. Recipient Organization Name Wisconsin Office of the Commissioner of Insurance			
Address Line 1 125 South Webster Street			
Address Line 2			
Address Line 3			
City Madison	State WI	Zip Code 53707	
Zip Extension		8. Grant Period Start Date January 1, 2019	
9. Grant Period End Date December 31, 2023			
10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency) N/A			

Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

B. REPORT CERTIFICATION	
11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.	
11a. Typed or printed name and title of Authorized Certifying Official Nathan Houdek	11b. Signature of Authorized Certifying Official
11c. Telephone (area code, number, and extension) (608) 266-2493	11d. E-mail address Nathan.Houdek@wisconsin.gov
11e. Date report submitted (month/day/year)	
C. PROGRESS OF SECTION 1332 WAIVER - General	
12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver.	
<p>March 30, 2020: OCI submitted the PY 2019 draft annual report to CCIIO. The report was also posted to the OCI website.</p> <p>March 31, 2020: OCI notified insurers that the new deadline for submitting final claim reports was moved from May 14, 2020 to May 29, 2020. The change was due to CMS extending the benefit year 2019 EDGE server data submission deadline to May 14th. The final claims data insurers send to OCI reflects the data sent to EDGE.</p> <p>April 3, 2020: CMS announced the final 2020 pass through amount of \$141,955,242. An email was sent to the state budget office and WIHSP insurers making them aware of the final funding level.</p> <p>April 27, 2020: OCI public hearing, via webinar, was held on draft 2021 payment parameters [\$40,000 attachment point, 48% co-insurance, \$175,000 cap]. A public comment period was provided, ending May 4, 2020. No verbal comments were made at hearing. The Wisconsin Association of Health Plans and Security Health Plan requested the data used in setting the parameters. OCI sent a response indicating the data request was denied due to concerns that sharing the data with insurers could cause anti-competitive issues (given the parameters were set based on data received from participating insurers). The parameters were finalized as proposed on May 11, 2020.</p>	

Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

May 15, 2020: OCI received insurers Q1 claim reports. Total claims reported: \$18,903,769.

May 29, 2020: Insurer final PY 2019 claims data were due, along with the required attestation and affirmation forms. The total WIHSP program claim amount for PY 2019 is \$174,254,353.91

June 9, 2020: OCI sent insurers phase I audit documents. OCI will conduct a verification audit of PY 2019 data in two phases. Phase I entailed collecting 58 claim samples across the carriers and requesting insurers to provide the individual claim amounts for selected enrollees that roll up to the total submitted to OCI for those enrollees. The number of enrollees sampled from each insurer was based on their WIHSP claim volume (higher claim insurers were asked to provide data on more enrollees than those with lower claim volume). Once insurer responses are submitted, OCI verifies the totals on the audit form match those submitted as part of the insurer's final PY 2019 claims data. Insurer data for phase I was due July 15, 2020.

June 20, 2020: OCI sent each insurer their estimated PY 2019 payment amount. This is a state statutory requirement. The figures sent to the insurers reflect the data they send to OCI on their final claim forms.

June 30, 2020: OCI issued an open meeting notice for the WIHSP annual forum; scheduled for July 30.

13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate.

N/A

**Section 1332 of the Patient Protection and Affordable Care Act (PPACA)
State Innovation Waivers – Reinsurance Waiver Quarterly Report**

D. POST-AWARD FORUM <i>(for Quarter Post-Award Forum was held)</i>
<p>14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance?</p> <p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>15. State website address where Post-Award Forum was advertised</p>
<p>16. Date Post-Award Forum took place</p>
<p>17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments.</p>
<p>18. Other Attachments (attach other documents as needed pertaining to Post-Award Form</p>