

# Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

**Reporting Instructions:** Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
<b>1. Reporting Period End Date</b> March 31, 2021		<b>2. Report Due Date</b> May 30, 2021	
<b>3. 1st Quarterly Report</b> <input checked="" type="radio"/>	<b>2nd Quarterly Report</b> <input type="radio"/>	<b>3rd Quarterly Report</b> <input type="radio"/>	<b>4. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight (CCIIO)
<b>5. Federal Grant Number Assigned by Federal Agency</b> SIWIW190008	<b>6a. DUNS Number</b> 155915090000		<b>6b. EIN</b> 396006451
<b>7. Recipient Organization Name</b> Office of the Commissioner of Insurance			
<b>Address Line 1</b> 125 South Webster Street			
<b>Address Line 2</b>			
<b>Address Line 3</b>			
<b>City</b> Madison	<b>State</b> WI		<b>Zip Code</b> 53707
<b>Zip Extension</b>		<b>8. Grant Period Start Date</b> January 1, 2019	
<b>9. Grant Period End Date</b> December 31, 2023			
<b>10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency)</b>			

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<b>B. REPORT CERTIFICATION</b>	
<b>11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.</b>	
<b>11a. Typed or printed name and title of Authorized Certifying Official</b>  Nathan Houdek	<b>11b. Signature of Authorized Certifying Official</b>    
<b>11c. Telephone (area code, number, and extension)</b>  608-266-2493	<b>11d. E-mail address</b> Nathan.Houdek@wisconsin.gov
<b>11e. Date report submitted (month/day/year)</b>    	
<b>C. PROGRESS OF SECTION 1332 WAIVER - General</b>	
<b>12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver.</b> <b>January 12, 2021: The state submitted the SF 425 form.</b> <b>January 21, 2021: OCI sent insurers the PY 2021 claim reporting forms.</b> <b>January 28, 2021: The state submitted the annual FFR form.</b> <b>February 4, 2021: OCI started discussions with Wakely (consulting actuary) on establishing PY 2022 payment parameters.</b> <b>February 12, 2021: Data call was sent to insurers to help inform PY 2022 payment parameter setting.</b> <b>February 14, 2021: Insurers submitted their Q4 claims reports. The Q4 aggregate claims total is: \$171,504,526.72</b> <b>March 26, 2021: Wakely sent OCI PY 2022 payment parameter options to consider.</b> <b>March 30, 2021: OCI sent HHS the WIHSP PY 2020 annual report.</b>	
<b>13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate.</b>          N/A	

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<b>D. POST-AWARD FORUM</b> <i>(for Quarter Post-Award Forum was held)</i>
<b>14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance?</b> <input type="radio"/> <b>Yes</b> <input type="radio"/> <b>No</b>
<b>15. State website address where Post-Award Forum was advertised</b>
<b>16. Date Post-Award Forum took place</b>
<b>17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments.</b>
<b>18. Other Attachments (attach other documents as needed pertaining to Post-Award Form)</b>